

Second order nonlinear processes in optical crystals

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Part I. (6.12.2000)

the effective nonlinearity: symmetries, crystal classes and conventions

Part II. (13.12.2000)

- the phase-matching (collinear, non-collinear and quasi-phase matching)**
- the bandwidths (acceptances): spectral, angular, temperature**
- frequency conversion with ultrashort pulses**

Literature:

- 1. R. W. Boyd, Nonlinear Optics, 1992**
- 2. W. Kleber, Einführung in die Kristallographie, 1985**
- 3. V. G. Dmitriev, G. G. Gurzadyan, D. N. Nikogosyan, Handbook of nonlinear optical crystals, 1999**
- 4. R. L. Sutherland, Handbook of nonlinear optics, 1996**

Linear optics:

$$\vec{P} = \epsilon_0 \chi^{(1)} \vec{E} \quad \text{with} \quad \epsilon_0 : \text{dielectric constant,}$$
$$\chi^{(1)} \text{ linear susceptibility}$$

Nonlinear optics: (spatial dispersion neglected)

$$\vec{P}(t) = \vec{P}_L(t) + \vec{P}_{NL}(t)$$
$$= \epsilon_0 \int_{-\infty}^t \chi^{(1)}(t; t_1) \vec{E}(t_1) dt_1 + \epsilon_0 \int \int_{-\infty}^t \chi^{(2)}(t; t_1, t_2) \vec{E}(t_1) \vec{E}(t_2) dt_1 dt_2$$
$$+ \epsilon_0 \int \int \int_{-\infty}^t \chi^{(3)}(t; t_1, t_2, t_3) \vec{E}(t_1) \vec{E}(t_2) \vec{E}(t_3) dt_1 dt_2 dt_3 \dots$$

$\chi^{(2)}$ and $\chi^{(3)}$: 2-nd and 3-rd order nonlinear susceptibilities.

Assumption: non-resonant interaction, neglecting both losses and dispersion (instantaneous response).

This reduces the susceptibilities to constants:

$$\vec{P} = \vec{P}_L + \vec{P}_{NL}$$
$$= \epsilon_0 (\chi^{(1)} \vec{E} + \chi^{(2)} \vec{E} \vec{E} + \chi^{(3)} \vec{E} \vec{E} \vec{E} + \dots)$$
$$= \sum_{n=1} \vec{P}^{(n)}$$

$\chi^{(2)}$ -processes („three-photon-processes“): lowest order nonlinear effect in non-centrosymmetric media.

convention $\omega_1 < \omega_2 < \omega_3$ ($\lambda_1 > \lambda_2 > \lambda_3$).

SFG (sum-frequency generation) $\omega_3 = \omega_2 + \omega_1$

SHG (second harmonic generation) $\omega_3 = 2\omega_1 \equiv$ SFG ($\omega_1 = \omega_2$).

DFG (difference-frequency generation): $\omega_1 = \omega_3 - \omega_2$
(or $\omega_2 = \omega_3 - \omega_1$)

OPG (optical parametric generation): only the pump wave at ω_3 is present and two new waves at ω_1 and ω_2 (idler and signal) are generated.

OPA (optical parametric amplification) \equiv OPG + (weak) seed wave at ω_1 or ω_2 .

DFG \equiv OPA (???)

Gain (DFG) ≈ 1 e.g. @ ω_2

Gain (OPA) $\gg 1$ e.g. @ ω_2

OPO (optical parametric oscillator) \equiv (OPG or OPA) + resonator

The wave equation (non-magnetic, non-conductive medium):

$$\text{rot rot } \vec{E} + \frac{1}{c^2} \frac{\partial^2 \vec{E}}{\partial t^2} = -\frac{1}{\epsilon_0 c^2} \frac{\partial^2 \vec{P}}{\partial t^2}$$

leads with the ansatz for the polarization to:

$$\Delta \vec{E} - \frac{1}{c^2} \frac{\partial^2 \vec{E}}{\partial t^2} - \frac{1}{\epsilon_0 c^2} \frac{\partial^2 \vec{P}_L}{\partial t^2} = \frac{1}{\epsilon_0 c^2} \frac{\partial^2 \vec{P}_{NL}}{\partial t^2}$$

\vec{E} is real and a superposition of quasi-monochromatic and quasi-plane waves:

$$\begin{aligned} \vec{E}(\vec{r}, t) &= \sum_n \vec{E}_n(\vec{r}, t) = \sum_n \vec{A}_n(\vec{r}, t) \exp[i(\vec{k}_n \vec{r} - \omega_n t)] + \text{c.c.} \\ &= \sum_n \vec{E}(\omega_n) e^{-i\omega_n t} + \text{c.c.} = \sum_n \vec{A}(\omega_n) e^{i(\vec{k}_n \vec{r} - \omega_n t)} + \text{c.c.} \end{aligned}$$

$\vec{A}_n(\vec{r}, t) \equiv \vec{A}(\omega_n)$: slowly varying amplitudes

\vec{k}_n [with $k_n = \omega_n n(\omega_n) / c$]: wave vectors at ω_n .

In $\vec{E}(\omega_n)$, $\vec{A}(\omega_n)$: ω_n is a parameter and not an argument!!!

$$\begin{aligned} \vec{P}(\vec{r}, t) &= \sum_n \vec{P}_n(\vec{r}, t) \exp[i(\vec{k}_n \vec{r} - \omega_n t)] + \text{c.c.} \\ &= \sum_n \vec{P}(\omega_n) e^{-i\omega_n t} + \text{c.c.} \end{aligned}$$

Definition of $\chi_{ijk}^{(2)}(\omega_n + \omega_m, \omega_n, \omega_m)$:

$$P_i^{(2)}(\omega_n + \omega_m) = \varepsilon_0 \sum_{jk} \sum_{(mn)} \chi_{ijk}^{(2)}(\omega_n + \omega_m, \omega_n, \omega_m) E_j(\omega_n) E_k(\omega_m)$$

The summation (m,n) means, that $\omega_n + \omega_m$ remains constant though ω_n and ω_m are varied.

Other notations for $\chi^{(2)}(\omega_n + \omega_m, \omega_n, \omega_m)$:

$$\chi^{(2)}(-\omega_3; \omega_2, \omega_1) \quad \text{or} \quad \chi^{(2)}(\omega_3 = \omega_1 + \omega_2)$$

For SFG ($\omega_3 = \omega_1 + \omega_2$) using the intrinsic permutation symmetry to sum over (m,n) we get:

$$P_i^{(2)}(\omega_3) = \varepsilon_0 D \sum_{jk} \chi_{ijk}^{(2)}(-\omega_3; \omega_1, \omega_2) E_j(\omega_1) E_k(\omega_2)$$

with $D = 1$ for $\omega_1 = \omega_2$

$$= 2 \text{ for } \omega_1 \neq \omega_2$$

Intrinsic permutation symmetry: $\chi_{ijk}^{(2)}(\omega_n + \omega_m, \omega_n, \omega_m)$ remains unchanged exchanging the index pairs j,n and k,m.

Symmetries and number of independent components of $\chi^{(2)}$

$\chi^{(2)}$ consists of 12 tensors (differing in the permutation of the parameters $\omega_1, \omega_2, \omega_3$) each of them having 27 (complex) cartesian components or we have:

648 real numbers

The reality of the fields: **reduction factor of 2**

Intrinsic permutation symmetry: **reduction factor of 2**

The neglect of losses leads to real $\chi^{(2)}$: **reduction factor of 2**

and overall permutation symmetry: **reduction factor of 3**

All frequencies far from optical resonances:

leads to frequency independent $\chi^{(2)}$ -components

(„Kleinman-symmetry“).

This means that the cartesian indices ijk can be exchanged without corresponding permutation of the frequency indices.

If $\chi^{(2)}$ are frequency independent and real we have **27** components (real numbers) which are reduced to **18** by the intrinsic symmetry. This justifies the introduction of the contracted d_{ijl} -tensor instead of $\chi_{ijk}^{(2)}$.

defining the nonlinear coefficients d_{ijk} as:

$$d_{ijk} = \frac{1}{2} \chi_{ijk}^{(2)}$$

We substitute d_{ijk} by d_{il} where

jk:	11	22	33	23,32	31,13	12,21
l:	1	2	3	4	5	6

These **18** components are reduced by the Kleinman symmetry to only **10**. e.g. $d_{24}=d_{223}=d_{322}=d_{32}$.

Miller's Rule:

$$d_{ijk}(\omega_1, \omega_2, \omega_3) = \Delta_{ijk} \chi^{(1)}(\omega_1) \chi^{(1)}(\omega_2) \chi^{(1)}(\omega_3)$$

$$\text{with } \chi^{(1)}(\omega_n) = n^2(\omega_n) - 1$$

where Δ_{ijk} is almost constant for all crystals without inversion centre: ($\approx 0.3 \text{ pm/V} \pm 0.1 \text{ pm/V}$).

Simplifications:

Collinear propagation in the z-direction ($\vec{k}_n \vec{r} \equiv k_n z$), field changes in the x- and y-directions neglected.

Slowly varying amplitude (SVA) approximation for the electric field:

$$\left| \frac{\partial^2}{\partial z^2} \vec{A}_n(z, t) \right| \ll \left| k_n \frac{\partial}{\partial z} \vec{A}_n(z, t) \right|, \left| \frac{\partial^2}{\partial t^2} \vec{A}_n(z, t) \right| \ll \left| \omega_n \frac{\partial}{\partial t} \vec{A}_n(z, t) \right|$$

and similar relations for the polarisation.

Birefringence neglected and scalar approximation implemented i.e.

$A_n(z, t)$ denote further the x or y components of the linearly polarized fields $\vec{A}_n(z, t)$. *xyz is the laboratory system here!*

Reduction to a coupled system of 1-st order equations:

$$\left(\frac{\partial}{\partial z} + \frac{n_1}{c} \frac{\partial}{\partial t} \right) A_1(z, t) = i \frac{2\omega_1 d_{\text{eff}}}{n_1 c} A_3(z, t) A_2^*(z, t) e^{-i\Delta k z}$$

$$\left(\frac{\partial}{\partial z} + \frac{n_2}{c} \frac{\partial}{\partial t} \right) A_2(z, t) = i \frac{2\omega_2 d_{\text{eff}}}{n_2 c} A_3(z, t) A_1^*(z, t) e^{-i\Delta k z}$$

$$\left(\frac{\partial}{\partial z} + \frac{n_3}{c} \frac{\partial}{\partial t} \right) A_3(z, t) = i \frac{2\omega_3 d_{\text{eff}}}{n_3 c} A_1(z, t) A_2(z, t) e^{i\Delta k z}$$

c/n_n : phase velocities, $n_n = n(\omega_n)$: refractive indices

d_{eff} is a scalar which is a result of the summation over jk (in d_{ijk})

or over l (in d_{il}).

Die 32 Kristallklassen (Punktgruppen)

Kristall-system	Nr.	Bezeichnung	Symbol international	Schoenflies	Allgemeine Form n ¹⁾	Bild
Triklin	1	triklin-pedial	1	C_1	1	1.44
	2	triklin-pinakoidal	1	C_1	2	1.44
Monoklin	3	monoklin-sphenoidisch	2	C_2	2	1.40
	4	monoklin-domatisch	m	C_2	2	1.43
	5	monoklin-prismatisch	2/m	C_{2h}	4	1.52
Rhombisch	6	rhombisch-disphenoidisch	222	D_2	4	1.63
	7	rhombisch-pyramidal	mm2	C_{2v}	4	(1.68a)
	8	rhombisch-dipyramidal	mmm	D_{2h}	8	1.65
Trigonal	9	trigonal-pyramidal	3	C_3	3	1.41
	10	rhomboedrisch	3	C_{3i}	6	1.46
	11	trigonal-trapezoidrisch	32	D_3	6	1.77
	12	ditrigonal-pyramidal	3m	C_{3v}	6	(1.68d)
	13	ditrigonal-akalenoedrisch	3m	D_{3d}	12	1.73
	14	hexagonal-pyramidal	6	C_6	6	1.41
Hexagonal	15	trigonal-dipyramidal	6	C_{3h}	6	1.48
	16	hexagonal-dipyramidal	6/m	C_{6h}	12	1.80
	17	hexagonal-trapezoidrisch	622	D_6	12	1.85
	18	dihexagonal-pyramidal	6mm	C_{6v}	12	(1.68h)
	19	ditrigonal-dipyramidal	6m2	D_{3h}	12	1.83
	20	dihexagonal-dipyramidal	6/mmm	D_{6h}	24	1.86
	21	tetragonal-pyramidal	4	C_4	4	1.41
	22	tetragonal-disphenoidisch	4	S_4	4	1.47
Tetragonal	23	tetragonal-dipyramidal	4m	C_{4h}	8	1.91
	24	tetragonal-trapezoidrisch	422	D_4	8	1.96
	25	ditetragonal-pyramidal	4mm	C_{4v}	8	(1.68f)
	26	tetragonal-akalenoedrisch	42m	D_{2d}	8	1.94
	27	ditetragonal-dipyramidal	4/mmm	D_{4h}	16	1.98
	28	tetraedrisch-pentagondodekaedrisch	23	T	12	1.103
	29	diododekaedrisch	m3	T_h	24	1.105
Kubisch	30	pentagon-ikositetraedrisch	432	O	24	1.109
	31	hexakistetraedrisch	43m	T_d	24	1.107
	32	hexakisoktaedrisch	m3m	O_h	48	1.110

isotropic
uniaxial
biaxial

bi uni
5713

Symmetrieelemente ²⁾	Zentrum	Enantio-morphie	Optische Aktivität	Piezo-elektri-zität	Pyro-elektri-zität
o	- +	+ -	+ -	+ -	+ -
\uparrow _p	-	+	+	+	+
m	- +	- -	+ -	+ -	+ -
\uparrow + m	- +	+ -	+ -	+ -	+ -
\uparrow + \uparrow + \uparrow	-	+	+	+	-
\uparrow + m + m	-	-	+	+	+
(\uparrow + m) + (\uparrow + m) + (\uparrow + m)	- +	- -	+ -	+ -	+ -
Δ _p	-	+	+	+	+
Δ (\equiv Δ + o)	- +	- -	+ -	+ -	+ -
Δ + 3 \uparrow _p	-	+	+	+	+
Δ _p + 3m	-	-	+ -	+ -	+ -
Δ (\equiv Δ + o) + 3(\uparrow + m)	- +	- -	+ -	+ -	+ -
\uparrow _p	-	+	+	+	+
\uparrow (\equiv Δ + m)	-	-	+ -	+ -	+ -
\uparrow + m	- +	- -	+ -	+ -	+ -
\uparrow + 3 \uparrow + 3 \uparrow	-	+	+	+	+
\uparrow _p + 3m + 3m	-	-	+ -	+ -	+ -
\uparrow (\equiv Δ + m) + 3 \uparrow _p + 3m	-	-	+ -	+ -	+ -
(\uparrow + m) + 3(\uparrow + m) + 3(\uparrow + m)	- +	- -	+ -	+ -	+ -
\uparrow _p	-	+	+	+	+
\uparrow	-	-	+ -	+ -	+ -
\uparrow + m	- +	- -	+ -	+ -	+ -
\uparrow + 2 \uparrow + 2 \uparrow	-	+	+	+	+
\uparrow _p + 2m + 2m	-	-	+ -	+ -	+ -
\uparrow + 2 \uparrow + 2m	-	-	+ -	+ -	+ -
(\uparrow + m) + 2(\uparrow + m) + 2(\uparrow + m)	- +	- -	+ -	+ -	+ -
3 \uparrow + 4 \uparrow _p	-	+	+	+	+
3(\uparrow + m) + 4 Δ	- +	- -	+ -	+ -	+ -
3 \uparrow + 4 \uparrow + 6 \uparrow	-	+	+	+	+
3 \uparrow + 4 \uparrow _p + 6m	-	-	+ -	+ -	+ -
3(\uparrow + m) + 4 Δ + 6(\uparrow + m)	- +	- -	+ -	+ -	+ -
11	11	11	15	20	10

²⁾ Erläuterung der Symbole im Bild 1.61 19 polare Achse

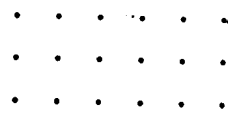
Isotropic crystal classes

classes
 $\bar{4}3m$
and 23



1 (1)

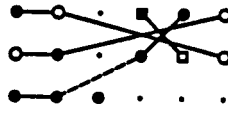
class 432
(all elements
vanish)



0 (0)

Uniaxial crystal classes

class 3



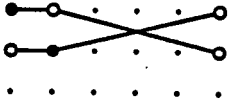
6 (4)

class 3m



4 (2)

class $\bar{6}$



2 (2)

class $\bar{6}m2$



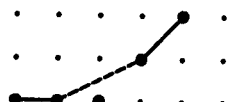
1 (1)

classes
6 and 4



4 (2)

classes
6mm
and
4mm



3 (2)

classes
622
and
422



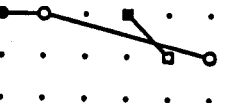
1 (0)

class $\bar{4}$



4 (2)

class 32



2 (1)

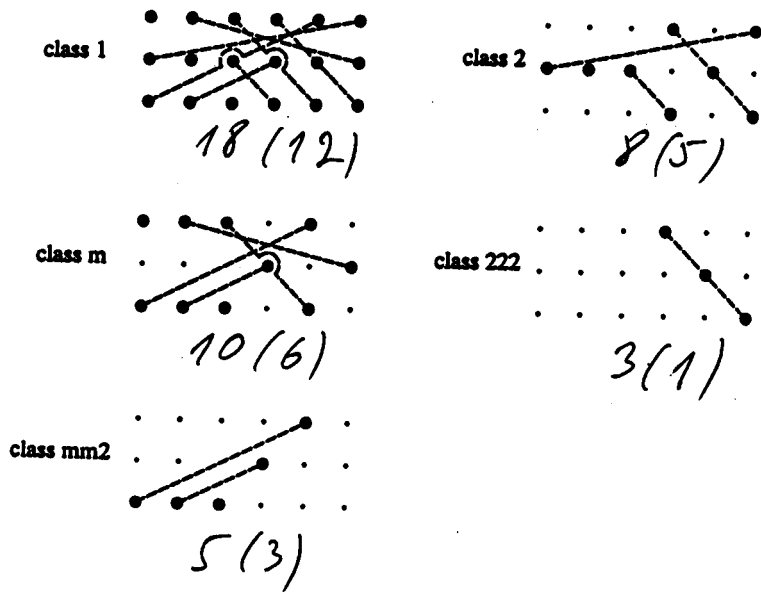
class $\bar{4}2m$



2 (1)

Form of the d_{ij} matrix for the 21 crystal classes that lack inversion symmetry. Small dot: zero coefficient; large symbol: nonzero coefficient; square: coefficient that is zero when Kleinman's symmetry condition is valid; connected symbols: numerically equal coefficients, but the open-symbol coefficient is opposite in sign to the closed symbol to which it is joined. Dashed connections are valid only under Kleinman's symmetry conditions.

Biaxial crystal classes



below: number of independent
 tensor components
 in brackets (+ Kleinman symmetry)

Conventions (just the most widely spread):

1. a, b, c denote the crystallographic axes
(not necessarily orthonormalized frame)
2. X, Y, Z (or traditionally x, y, z) denote the crystallo-optical, dielectric, or principal optic axes frame (orthonormalized) where the linear susceptibility is diagonalized and where the polar angles are θ and ϕ are defined.
3. The right-hand frame X, Y, Z (x, y, z) is defined in biaxial crystals by either $n_x < n_y < n_z$ or $n_x > n_y > n_z$ (one of them arbitrarily chosen) where the principal refractive index values are for polarization parallel to the corresponding axis.

This guarantees that the two optical axes lie in the XZ plane and $V_z \equiv \Omega$ is the angle with the Z axis.

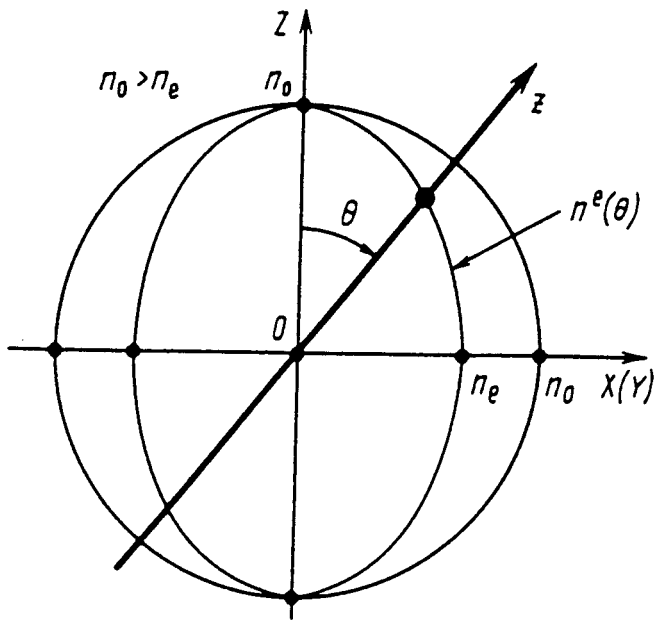
4. In uniaxial crystals Z denotes the optical axis (\equiv with the crystallographic axis of highest symmetry) and we have:

$$n_x = n_y = n_o, n_z = n_e$$

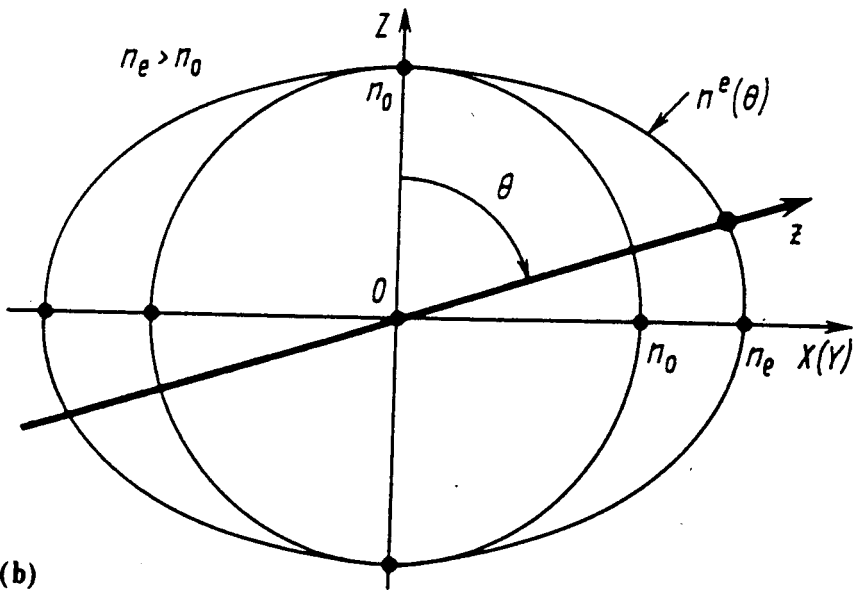
5. Negative (positive) uniaxial crystals are defined by

$$n_o > n_e \quad (n_e > n_o)$$

Negative (positive) biaxial crystals are defined by the bisectrix of the acute angle between the optical axes: if it coincides with n_{\min} (n_{\max}) the crystal is negative (positive).

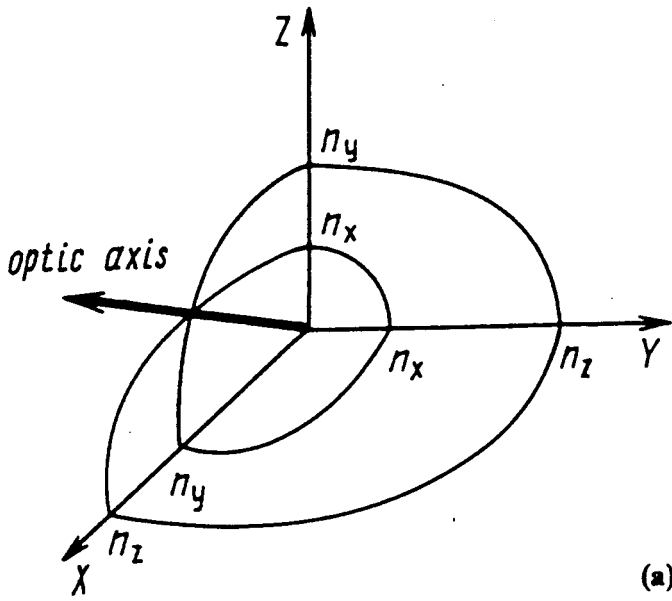


(a)

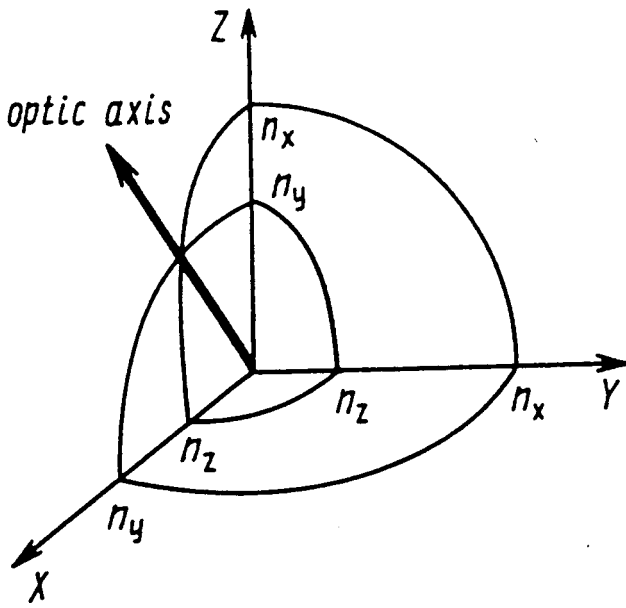


(b)

Dependence of refractive index on light propagation direction and polarization (index surface) in negative (a) and positive (b) uniaxial crystals



$$\sin V_Z = \frac{n_z(n_y^2 - n_x^2)^{1/2}}{n_y(n_z^2 - n_x^2)^{1/2}}$$



$$\cos V_Z = \frac{n_x(n_y^2 - n_z^2)^{1/2}}{n_y(n_x^2 - n_z^2)^{1/2}}$$

(b) Dependence of refractive index on light propagation direction and polarization (index surface) in biaxial crystals under the following relations between principal values of refractive indices: a) $n_x < n_y < n_z$; b) $n_x > n_y > n_z$.

Formulas for $d_{\alpha\alpha}$ in Uniaxial Crystals for the Different Types of Angle
Phase Matching

Crystal class	Type I (ooe)	Type II (oeo or eoo)
4, 6	$d_{31} \sin\theta$	$d_{15} \sin\theta$
422, 622	0	0
4mm, 6mm	$d_{31} \sin\theta$	$d_{15} \sin\theta$
$\bar{6}m2$	$-d_{22} \cos\theta \sin 3\phi$	$-d_{22} \cos\theta \sin 3\phi$
3m	$d_{31} \sin\theta - d_{22} \cos\theta \sin 3\phi$	$d_{15} \sin\theta - d_{22} \cos\theta \sin 3\phi$
$\bar{6}$	$(d_{11} \cos 3\phi - d_{22} \sin 3\phi) \cos\theta$	$(d_{11} \cos 3\phi - d_{22} \sin 3\phi) \cos\theta$
3	$(d_{11} \cos 3\phi - d_{22} \sin 3\phi) \cos\theta$ $+ d_{31} \sin\theta$	$(d_{11} \cos 3\phi - d_{22} \sin 3\phi) \cos\theta$ $+ d_{15} \sin\theta$
32	$d_{11} \cos\theta \cos 3\phi$	$d_{11} \cos\theta \cos 3\phi$
$\bar{4}$	$-(d_{31} \cos 2\phi + d_{36} \sin 2\phi) \sin\theta$	$-(d_{14} \sin 2\phi + d_{15} \cos 2\phi) \sin\theta$
$\bar{4}2m$	$-d_{36} \sin\theta \sin 2\phi$	$-d_{14} \sin\theta \sin 2\phi$
	Type I (eoo)	Type II (eoe or oee)
4, 6	$-d_{14} \sin 2\theta$	$d_{14} \sin\theta \cos\theta$
422, 622	$-d_{14} \sin 2\theta$	$d_{14} \sin\theta \cos\theta$
4mm, 6mm	0	0
$\bar{6}m2$	$d_{22} \cos^2\theta \cos 3\phi$	$d_{22} \cos^2\theta \cos 3\phi$
3m	$d_{22} \cos^2\theta \cos 3\phi$	$d_{22} \cos^2\theta \cos 3\phi$
$\bar{6}$	$(d_{11} \sin 3\phi + d_{22} \cos 3\phi) \cos^2\theta$	$(d_{11} \sin 3\phi + d_{22} \cos 3\phi) \cos^2\theta$
3	$(d_{11} \sin 3\phi + d_{22} \cos 3\phi) \cos^2\theta$ $- d_{14} \sin 2\theta$	$(d_{11} \sin 3\phi + d_{22} \cos 3\phi) \cos^2\theta$ $+ d_{14} \sin\theta \cos\theta$
32	$d_{11} \cos^2\theta \sin 3\phi - d_{14} \sin 2\theta$	$d_{11} \cos^2\theta \sin 3\phi + \frac{1}{2} d_{14} \sin 2\theta$
$\bar{4}$	$(d_{14} \cos 2\phi - d_{15} \sin 2\phi) \sin 2\theta$	$\frac{1}{2} [(d_{14} + d_{36}) \cos 2\phi$ $-(d_{15} + d_{31}) \sin 2\phi] \sin 2\theta$
$\bar{4}2m$	$d_{14} \sin 2\theta \cos 2\phi$	$\frac{1}{2} (d_{14} + d_{36}) \sin 2\theta \cos 2\phi$

Formulas for $d_{\alpha\alpha}$ in Uniaxial Crystals for the Different Types of Angle
Phase Matching when Kleinman Symmetry Holds

Crystal class	ooe, oeo, eoo	eoo, eoe, oee
4, 6	$d_{15} \sin\theta$	0
422, 622	0	0
4mm, 6mm	$d_{15} \sin\theta$	0
$\bar{6}m2$	$-d_{22} \cos\theta \sin 3\phi$	$d_{22} \cos^2\theta \cos 3\phi$
3m	$d_{15} \sin\theta - d_{22} \cos\theta \sin 3\phi$	$d_{22} \cos^2\theta \cos 3\phi$
$\bar{6}$	$(d_{11} \cos 3\phi - d_{22} \sin 3\phi) \cos\theta$	$(d_{11} \sin 3\phi + d_{22} \cos 3\phi) \cos^2\theta$
3	$(d_{11} \cos 3\phi - d_{22} \sin 3\phi) \cos\theta$ $+ d_{31} \sin\theta$	$(d_{11} \sin 3\phi + d_{22} \cos 3\phi) \cos^2\theta$
32	$d_{11} \cos\theta \cos 3\phi$	$d_{11} \cos^2\theta \sin 3\phi$
$\bar{4}$	$-(d_{14} \sin 2\phi + d_{15} \cos 2\phi) \sin\theta$	$(d_{14} \cos 2\phi - d_{15} \sin 2\phi) \sin 2\theta$
$\bar{4}2m$	$-d_{14} \sin\theta \sin 2\phi$	$d_{14} \sin 2\theta \cos 2\phi$

FORM OF THE SHG-TENSOR FOR THE VARIOUS CRYSTAL CLASSES

TRICLINIC SYSTEM

Class 1 - C_1

$$\begin{bmatrix} d_{11} & d_{12} & d_{13} & d_{14} & d_{15} & d_{16} \\ d_{21} & d_{22} & d_{23} & d_{24} & d_{25} & d_{26} \\ d_{31} & d_{32} & d_{33} & d_{34} & d_{35} & d_{36} \end{bmatrix}$$

MONOCLINIC SYSTEM

Class $m - C_2$

$$\begin{bmatrix} d_{11} & d_{12} & d_{13} & 0 & 0 & d_{16} \\ d_{21} & d_{22} & d_{23} & 0 & 0 & d_{26} \\ 0 & 0 & 0 & d_{33} & d_{35} & 0 \end{bmatrix} \quad m \perp Z$$

Class $m - C_2$

$$\begin{bmatrix} d_{11} & d_{12} & d_{13} & 0 & d_{15} & 0 \\ 0 & 0 & 0 & d_{25} & 0 & d_{26} \\ d_{31} & d_{32} & d_{33} & 0 & d_{35} & 0 \end{bmatrix} \quad m \perp Y$$

Class 2 - C_2

$$\begin{bmatrix} 0 & 0 & 0 & d_{15} & d_{16} & 0 \\ 0 & 0 & 0 & d_{25} & d_{26} & 0 \\ d_{31} & d_{32} & d_{33} & 0 & 0 & d_{36} \end{bmatrix} \quad 2 \parallel Z$$

Class 2 - C_2

$$\begin{bmatrix} 0 & 0 & 0 & d_{15} & 0 & d_{16} \\ d_{21} & d_{22} & d_{23} & 0 & d_{25} & 0 \\ 0 & 0 & 0 & d_{35} & 0 & d_{36} \end{bmatrix} \quad 2 \parallel Y \quad (\text{IRE-convention})$$

ORTHORHOMBIC SYSTEM

Class $mm2 - C_{2v}$

$$\begin{bmatrix} 0 & 0 & 0 & 0 & 0 & d_{15} \\ 0 & 0 & 0 & 0 & d_{25} & 0 \\ d_{31} & d_{32} & d_{33} & 0 & 0 & 0 \end{bmatrix}$$

Class 222 - D_2

$$\begin{bmatrix} 0 & 0 & 0 & d_{14} & 0 & 0 \\ 0 & 0 & 0 & 0 & d_{24} & 0 \\ 0 & 0 & 0 & 0 & 0 & d_{34} \end{bmatrix}$$

TETRAGONAL SYSTEM

Class 4 - C_4

$$\begin{bmatrix} 0 & 0 & 0 & d_{14} & d_{15} & 0 \\ 0 & 0 & 0 & d_{24} & d_{25} & 0 \\ d_{31} & d_{32} & d_{33} & 0 & 0 & 0 \end{bmatrix}$$

Class $\bar{4} - S_4$

$$\begin{bmatrix} 0 & 0 & 0 & d_{14} & d_{15} & 0 \\ 0 & 0 & 0 & -d_{14} & d_{15} & 0 \\ d_{31} & -d_{32} & 0 & 0 & 0 & d_{36} \end{bmatrix}$$

Class $4mm - C_{4v}$

$$\begin{bmatrix} 0 & 0 & 0 & d_{15} & 0 \\ 0 & 0 & 0 & d_{25} & 0 \\ 0 & 0 & 0 & 0 & d_{36} \end{bmatrix}$$

Class $\bar{4}2m - D_{2d}$

$$\begin{bmatrix} 0 & 0 & 0 & d_{14} & 0 & 0 \\ 0 & 0 & 0 & 0 & d_{24} & 0 \\ 0 & 0 & 0 & 0 & 0 & d_{36} \end{bmatrix}$$

Class 422 - D_2

$$\begin{bmatrix} 0 & 0 & 0 & d_{14} & 0 & 0 \\ 0 & 0 & 0 & 0 & -d_{14} & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

TRIGONAL SYSTEM

Class 3 - C_3

$$\begin{bmatrix} d_{11} & -d_{11} & 0 & d_{14} & d_{15} & -d_{22} \\ -d_{22} & d_{22} & 0 & d_{14} & -d_{15} & -d_{11} \\ d_{31} & d_{32} & d_{33} & 0 & 0 & 0 \end{bmatrix}$$

Class 3m - C_{3v}

$$\begin{bmatrix} 0 & 0 & 0 & 0 & d_{15} & -d_{22} \\ -d_{22} & d_{22} & 0 & d_{15} & 0 & 0 \\ d_{31} & d_{32} & d_{33} & 0 & 0 & 0 \end{bmatrix} \quad m \perp X \quad (\text{IRE-convention})$$

FORM OF THE SHG-TENSOR FOR THE VARIOUS CRYSTAL CLASSES

HEXAGONAL SYSTEM

Class $3m - C_{3v}$

$$\begin{bmatrix} d_{11} & -d_{11} & 0 & 0 & d_{14} & 0 \\ 0 & 0 & 0 & 0 & d_{15} & -d_{11} \\ d_{31} & d_{32} & d_{33} & 0 & 0 & 0 \end{bmatrix} \quad m \perp Y$$

Class 622 - D_6

$$\begin{bmatrix} 0 & 0 & 0 & d_{14} & 0 & 0 \\ 0 & 0 & 0 & 0 & -d_{14} & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

Same as Class 422 - D_2

CUBIC SYSTEM

Class 23 - T

$$\begin{bmatrix} 0 & 0 & 0 & d_{14} & 0 & 0 \\ 0 & 0 & 0 & 0 & d_{14} & 0 \\ 0 & 0 & 0 & 0 & 0 & d_{14} \end{bmatrix}$$

Class $\bar{4}3m - T_d$

$$\begin{bmatrix} 0 & 0 & 0 & d_{14} & 0 & 0 \\ 0 & 0 & 0 & 0 & d_{14} & 0 \\ 0 & 0 & 0 & 0 & 0 & d_{14} \end{bmatrix}$$

Class 432 - 0

All elements vanish

HEXAGONAL SYSTEM

Class $\bar{6} - C_{3h}$

$$\begin{bmatrix} d_{11} & -d_{11} & 0 & 0 & 0 & -d_{22} \\ -d_{22} & d_{22} & 0 & 0 & 0 & -d_{11} \\ 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

Class 6 - C_6

$$\begin{bmatrix} 0 & 0 & 0 & d_{14} & d_{15} & 0 \\ 0 & 0 & 0 & d_{15} & -d_{14} & 0 \\ d_{31} & d_{32} & d_{33} & 0 & 0 & 0 \end{bmatrix}$$

Same as Class 4 - C_4

Class $\bar{6}m2 - D_{3h}$

$$\begin{bmatrix} 0 & 0 & 0 & 0 & -d_{22} & 0 \\ -d_{22} & d_{22} & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix} \quad m \perp X \quad (\text{IRE-convention})$$

Class $\bar{6}m2 - D_{3h}$

$$\begin{bmatrix} d_{11} & -d_{11} & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & -d_{11} \\ 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix} \quad m \perp Y$$

Class $6mm - C_{6v}$

$$\begin{bmatrix} 0 & 0 & 0 & 0 & d_{15} & 0 \\ 0 & 0 & 0 & 0 & d_{15} & 0 \\ d_{31} & d_{32} & d_{33} & 0 & 0 & 0 \end{bmatrix}$$

Same as Class $4mm - D_2$

General case

Effective second-order nonlinear coefficients d_{eff} for biaxial crystals. Under Kleinman symmetry

Phase matching, type I

222-orthorhombic $d_{36}[\sin 2\theta \cos 2\phi \cos \delta (3 \sin^2 \delta - 1) + \sin \theta \sin 2\phi \sin \delta (3 \cos^2 \theta \cos^2 \delta + 3 \cos^2 \delta - 1)]$

$$\begin{aligned} & (d_{32} - d_{31}) \cos \theta \sin \theta \sin 2\phi \cos \delta \sin^2 \delta \\ & + (d_{15} - d_{24}) \cos \theta \sin \theta \sin 2\phi \cos \delta \cos 2\delta \\ & + (d_{32} \cos^2 \phi + d_{31} \sin^2 \phi) \sin \theta \sin^3 \delta \\ & + (d_{32} \sin^2 \phi + d_{31} \cos^2 \phi) \cos^2 \theta \sin \theta \cos^2 \delta \sin \delta \\ & - 2(d_{24} \cos^2 \phi + d_{15} \sin^2 \phi) \sin \theta \cos^2 \delta \sin \delta \\ & + 2(d_{24} \sin^2 \phi + d_{15} \cos^2 \phi) \cos^2 \theta \sin \theta \cos^2 \delta \sin \delta \\ & + d_{33} \sin^3 \theta \cos^2 \delta \sin \delta \end{aligned}$$

mm2-orthorhombic

$$\begin{aligned} & (d_{32} - d_{31}) \cos \theta \sin \theta \cos \phi \sin \phi \sin \delta \cos 2\delta \\ & + (d_{24} - d_{15}) \cos \theta \sin \theta \cos \phi \sin \phi \sin \delta (4 \cos^2 \delta - 1) \\ & - (d_{31} \cos^2 \phi + d_{32} \sin^2 \phi) \cos^2 \theta \sin \theta \cos \delta \sin^2 \delta \\ & + (d_{31} \sin^2 \phi + d_{32} \cos^2 \phi) \sin \theta \cos \delta \sin^2 \delta \\ & - 2(d_{15} \cos^2 \phi + d_{24} \sin^2 \phi) \cos^2 \theta \sin \theta \cos \delta \sin^2 \delta \\ & - (d_{15} \sin^2 \phi + d_{24} \cos^2 \phi) \sin \theta \cos \delta \cos 2\delta \\ & - d_{33} \sin^3 \theta \cos \delta \sin^2 \delta \end{aligned}$$

Phase matching, type II

222-orthorhombic $d_{36}[\sin 2\theta \cos 2\phi \sin \delta (3 \cos^2 \delta - 1) - \sin \theta \sin 2\phi \cos \delta (3 \cos^2 \theta \sin^2 \delta + 3 \sin^2 \delta - 1)]$

$$\begin{aligned} & - (d_{22} \sin^2 \phi + 3d_{21} \cos^2 \phi) \cos^3 \theta \sin \phi \sin \delta \cos^2 \delta \\ & - [d_{22} \cos^2 \phi + d_{21} (3 \sin^2 \phi - 2)] \cos \theta \sin \phi \sin^3 \delta \\ & + 2[d_{22} \cos^2 \phi - d_{21} (3 \cos^2 \phi - 1)] \cos \theta \sin \phi \sin \delta \cos^2 \delta \\ & - 2[d_{22} \sin^2 \phi - d_{21} (3 \sin^2 \phi - 1)] \cos^2 \theta \cos \phi \sin^2 \delta \cos \delta \\ & - [d_{22} \sin^2 \phi + d_{21} (\sin^2 \phi + 1)] \cos^2 \theta \cos \phi \cos^3 \delta \\ & - d_{34} \sin^2 \theta \cos \delta [3 \cos \theta \sin \phi \sin \delta \cos \delta - \cos \phi (3 \cos^2 \delta - 2)] \\ & + d_{36}[\sin 2\theta \cos 2\phi \cos \delta (3 \sin^2 \delta - 1) + \sin \theta \sin 2\phi \sin \delta (3 \cos^2 \theta \cos^2 \delta + 3 \cos^2 \delta - 1)] \end{aligned}$$

2-monoclinic

$$\begin{aligned} & (d_{22} \sin^2 \phi + 3d_{21} \cos^2 \phi) \cos^3 \theta \sin \phi \sin^2 \delta \cos \delta \\ & + [d_{22} \cos^2 \phi + d_{21} (3 \sin^2 \phi - 2)] \cos \theta \sin \phi \cos^3 \delta \\ & - 2[d_{22} \cos^2 \phi - d_{21} (3 \cos^2 \phi - 1)] \cos \theta \sin \phi \sin^2 \delta \cos \delta \\ & - 2[d_{22} \sin^2 \phi - d_{21} (3 \cos^2 \phi - 1)] \cos^2 \theta \cos \phi \sin \delta \cos^2 \delta \\ & - [d_{22} \sin^2 \phi + d_{21} (\sin^2 \phi + 1)] \cos^2 \theta \cos \phi \sin^3 \delta \\ & + d_{34} \sin^2 \theta \sin \delta [3 \cos \theta \sin \phi \sin \delta \cos \delta + \cos \phi (3 \sin^2 \delta - 2)] \\ & + d_{36}[\sin 2\theta \cos 2\phi \cos \delta (3 \cos^2 \theta \sin^2 \delta + 3 \sin^2 \delta - 1) - \sin \theta \sin 2\phi \cos \delta (3 \cos^2 \theta \cos^2 \delta + 3 \cos^2 \delta - 1)] \end{aligned}$$

Here: d_{il} defined in X, Y, Z
or $X, Y, Z \equiv a, b, c$ for $222/mmm2$

$$\cot 2\delta = \frac{\cot^2 \theta \sin^2 \theta - \cos^2 \theta \cos^2 \phi + \sin^2 \phi}{\cos \theta - \sin 2\phi}$$

where θ is the angle between the Z axis and the optic axis.

mm2

$$d_{caa} = d_{31}; \quad d_{cbb} = d_{32}; \quad d_{ccc} = d_{33};$$

$$d_{aac} = d_{15}; \quad d_{bbc} = d_{24}.$$

Kleinman:
 $d_{15} = d_{31}$
 $d_{24} = d_{32}$

in Principal Planes:

Components of d_{ijk} tensor in the dielectric coordinate system for different assignments between the dielectric and crystallographic reference frames

N	Assignment	$d_{caa} = d_{31}$	$d_{cbb} = d_{32}$	$d_{ccc} = d_{33}$	$d_{aac} = d_{15}$	$d_{bbc} = d_{24}$
1	$X, Y, Z \rightarrow a, b, c$	d_{zxx}	d_{zyy}	d_{zzz}	d_{xxz}	d_{yyz}
2	$X, Y, Z \rightarrow b, a, c$	d_{zyy}	d_{zxx}	d_{zzz}	d_{yyz}	d_{xxz}
3	$X, Y, Z \rightarrow a, c, b$	d_{yxx}	d_{yzz}	d_{yyy}	d_{xxy}	d_{zzy}
4	$X, Y, Z \rightarrow b, c, a$	d_{yzz}	d_{yxx}	d_{yyy}	d_{zzy}	d_{xxy}
5	$X, Y, Z \rightarrow c, b, a$	d_{xzz}	d_{xyy}	d_{xxx}	d_{zzx}	d_{yry}
6	$X, Y, Z \rightarrow c, a, b$	d_{xyy}	d_{xzz}	d_{xxx}	d_{yry}	d_{zzx}

The possible types of phase matching in the principal planes of the mm2 point group biaxial crystal for the case $n_x < n_y < n_z$

Assignment	Principal plane			
	XY	YZ	XZ	
			$\theta < V_z$	$\theta > V_z$
$X, Y, Z \rightarrow a, b, c$	$\Pi^{(-)}$	$\Pi^{(+)}$	$I^{(-)}$	$\Pi^{(+)}$
or $\rightarrow b, a, c$	oe-e, eo-e	oe-o, eo-o	oo-e	oe-o, eo-o
$X, Y, Z \rightarrow a, c, b$	$I^{(-)}$	$\Pi^{(+)}$	$\Pi^{(-)}$	$I^{(+)}$
or $\rightarrow b, c, a$	oo-e	oe-o, eo-o	oe-e, eo-e	ee-o
$X, Y, Z \rightarrow c, b, a$	$I^{(-)}$	$I^{(+)}$	$I^{(-)}$	$\Pi^{(+)}$
or $\rightarrow c, a, b$	oo-e	ee-o	oo-e	oe-o, eo-o

The possible types of phase matching in the principal planes of the mm2 point group biaxial crystal for the case $n_x > n_y > n_z$

Assignment	Principal plane			
	XY	YZ	XZ	
			$\theta < V_z$	$\theta > V_z$
$X, Y, Z \rightarrow a, b, c$	$I^{(+)}$	$I^{(-)}$	$\Pi^{(+)}$	$I^{(-)}$
or $\rightarrow b, a, c$	ee-o	oo-e	oe-o, eo-o	oo-e
$X, Y, Z \rightarrow a, c, b$	$\Pi^{(+)}$	$I^{(-)}$	$I^{(+)}$	$\Pi^{(-)}$
or $\rightarrow b, c, a$	oe-o, eo-o	oo-e	ee-o	oe-e, eo-e
$X, Y, Z \rightarrow c, b, a$	$\Pi^{(+)}$	$\Pi^{(-)}$	$\Pi^{(+)}$	$I^{(-)}$
or $\rightarrow c, a, b$	oe-o, eo-o	oe-e, eo-e	oe-o, eo-o	oo-e

polarization: o: \perp to plane, e: in plane

mm2-class, $V_z \equiv \infty$
Kleinman symmetry

The d_{eff} expressions for the principal planes of the mm2 point group biaxial crystal in the case $n_x < n_y < n_z$			
Assignment	Plane	$d_{\text{eff}}^{\text{m-f}}$ (Type I)	$d_{\text{eff}}^{\text{m-f}}$ (Type II)
$X, Y, Z \rightarrow a, b, c$	XY	0	$d_{15} \sin^2 \phi + d_{24} \cos^2 \phi$
	YZ	0	$d_{15} \sin \theta$
	XZ, $\theta < V_z$	$d_{32} \sin \theta$	0
	XZ, $\theta > V_z$	0	$d_{24} \sin \theta$
$X, Y, Z \rightarrow b, a, c$	XY	0	$d_{24} \sin^2 \phi + d_{15} \cos^2 \phi$
	YZ	0	$d_{24} \sin \theta$
	XZ, $\theta < V_z$	$d_{31} \sin \theta$	0
	XZ, $\theta > V_z$	0	$d_{15} \sin \theta$
$X, Y, Z \rightarrow a, c, b$	XY	$d_{32} \cos \phi$	0
	YZ	0	$d_{15} \cos \theta$
	XZ, $\theta < V_z$	0	$d_{24} \sin^2 \theta + d_{15} \cos^2 \theta$
	XZ, $\theta > V_z$	$d_{32} \sin^2 \theta + d_{31} \cos^2 \theta$	0
$X, Y, Z \rightarrow b, c, a$	XY	$d_{31} \cos \phi$	0
	YZ	0	$d_{24} \cos \theta$
	XZ, $\theta < V_z$	0	$d_{15} \sin^2 \theta + d_{24} \cos^2 \theta$
	XZ, $\theta > V_z$	$d_{31} \sin^2 \theta + d_{32} \cos^2 \theta$	0
$X, Y, Z \rightarrow c, b, a$	XY	$d_{31} \sin \phi$	0
	YZ	$d_{31} \sin^2 \theta + d_{32} \cos^2 \theta$	0
	XZ, $\theta < V_z$	$d_{32} \cos \theta$	0
	XZ, $\theta > V_z$	0	$d_{24} \cos \theta$
$X, Y, Z \rightarrow c, a, b$	XY	$d_{32} \sin \phi$	0
	YZ	$d_{32} \sin^2 \theta + d_{31} \cos^2 \theta$	0
	XZ, $\theta < V_z$	$d_{31} \cos \theta$	0
	XZ, $\theta > V_z$	0	$d_{15} \cos \theta$

The d_{eff} expressions for the principal planes of the mm2 point group biaxial crystal in the case $n_x > n_y > n_z$			
Assignment	Plane	$d_{\text{eff}}^{\text{m-f}}$ (Type I)	$d_{\text{eff}}^{\text{m-f}}$ (Type II)
$X, Y, Z \rightarrow a, b, c$	XY	$d_{31} \sin^2 \phi + d_{32} \cos^2 \phi$	0
	YZ	$d_{31} \sin \theta$	0
	XZ, $\theta < V_z$	0	$d_{24} \sin \theta$
	XZ, $\theta > V_z$	$d_{32} \sin \theta$	0
$X, Y, Z \rightarrow b, a, c$	XY	$d_{32} \sin^2 \phi + d_{31} \cos^2 \phi$	0
	YZ	$d_{32} \sin \theta$	0
	XZ, $\theta < V_z$	0	$d_{15} \sin \theta$
	XZ, $\theta > V_z$	$d_{31} \sin \theta$	0
$X, Y, Z \rightarrow a, c, b$	XY	0	$d_{24} \cos \phi$
	YZ	$d_{31} \cos \theta$	0
	XZ, $\theta < V_z$	$d_{32} \sin^2 \theta + d_{31} \cos^2 \theta$	0
	XZ, $\theta > V_z$	0	$d_{24} \sin^2 \theta + d_{15} \cos^2 \theta$
$X, Y, Z \rightarrow b, c, a$	XY	0	$d_{15} \cos \phi$
	YZ	$d_{32} \cos \theta$	0
	XZ, $\theta < V_z$	$d_{31} \sin^2 \theta + d_{32} \cos^2 \theta$	0
	XZ, $\theta > V_z$	0	$d_{15} \sin^2 \theta + d_{24} \cos^2 \theta$
$X, Y, Z \rightarrow c, b, a$	XY	0	$d_{15} \sin \phi$
	YZ	0	$d_{15} \sin^2 \theta + d_{24} \cos^2 \theta$
	XZ, $\theta < V_z$	0	$d_{24} \cos \theta$
	XZ, $\theta > V_z$	$d_{32} \cos \theta$	0
$X, Y, Z \rightarrow c, a, b$	XY	0	$d_{24} \sin \phi$
	YZ	0	$d_{24} \sin^2 \theta + d_{15} \cos^2 \theta$
	XZ, $\theta < V_z$	0	$d_{15} \cos \theta$
	XZ, $\theta > V_z$	$d_{31} \cos \theta$	0

222

d_{il} defined in a, b, c

for all assignments $X, Y, Z \leftrightarrow a, b, c$

Expressions for d_{eff} and possible types of phase matching in the principal planes of the 222 point group biaxial crystal when Kleinman symmetry relations are valid

Plane	$n_x < n_y < n_z$	$n_x > n_y > n_z$
XY	$d_{14} \sin 2\phi$, type II ⁽⁻⁾	$-d_{14} \sin 2\phi$, type I ⁽⁺⁾
YZ	$d_{14} \sin 2\theta$, type I ⁽⁺⁾	$-d_{14} \sin 2\theta$, type II ⁽⁻⁾
XZ, $\theta < V_Z$	$-d_{14} \sin 2\theta$, type II ⁽⁻⁾	$d_{14} \sin 2\theta$, type I ⁽⁺⁾
XZ, $\theta > V_Z$	$-d_{14} \sin 2\theta$, type I ⁽⁺⁾	$d_{14} \sin 2\theta$, type II ⁽⁻⁾

2

d_{il} defined directly
in X, Y, Z

Expressions for d_{eff} and possible types of phase matching in the principal planes of the biaxial crystal of 2 point group when Kleinman symmetry relations are valid and nonlinear coefficients are defined in dielectric reference frame

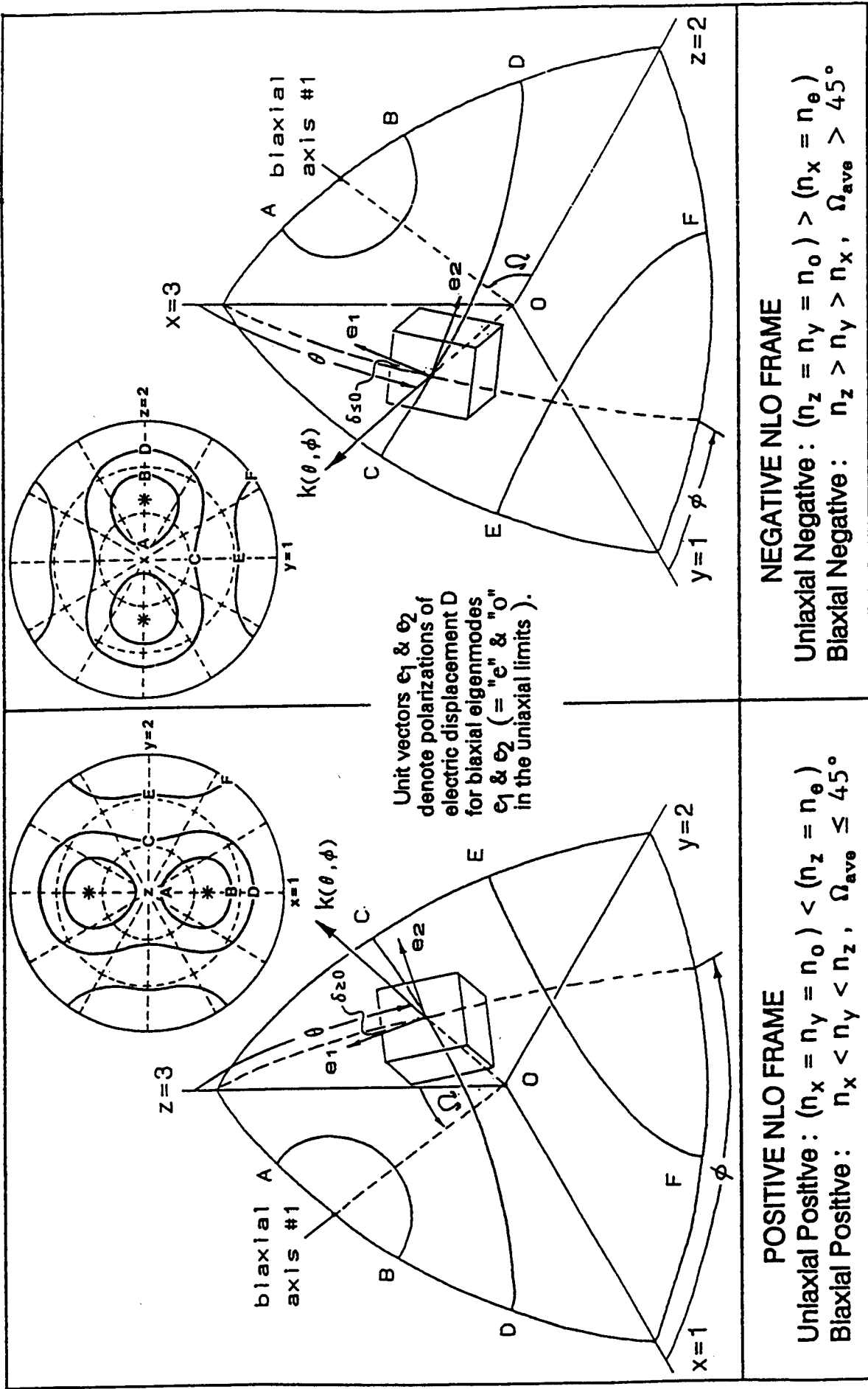
Plane	$n_x < n_y < n_z$	$n_x > n_y > n_z$
XY	$d_{25} \sin 2\phi$, type II ⁽⁻⁾ $d_{23} \cos \phi$, type I ⁽⁻⁾	$d_{25} \sin 2\phi$, type I ⁽⁺⁾ $d_{23} \cos \phi$, type II ⁽⁺⁾
YZ	$d_{21} \cos \theta$, type II ⁽⁺⁾ $d_{25} \sin 2\theta$, type I ⁽⁺⁾	$d_{21} \cos \theta$, type I ⁽⁻⁾ $d_{25} \sin 2\theta$, type II ⁽⁻⁾
XZ, $\theta < V_Z$	$d_{21} \cos^2 \theta + d_{23} \sin^2 \phi$ $+d_{25} \sin 2\theta$, type II ⁽⁻⁾	$d_{21} \cos^2 \theta + d_{23} \sin^2 \phi$ $+d_{25} \sin 2\theta$, type I ⁽⁺⁾
XZ, $\theta > V_Z$	$d_{21} \cos^2 \theta + d_{23} \sin^2 \phi$ $+d_{25} \sin 2\theta$, type I ⁽⁺⁾	$d_{21} \cos^2 \theta + d_{23} \sin^2 \phi$ $+d_{25} \sin 2\theta$, type II ⁽⁻⁾

It is our hope that IEEE/ANSI Std. 176-1987 (amended for orthorhombic class mm2) and other standards summarized below will be adopted by the nonlinear optics community:

- 1) $\lambda_1 \geq \lambda_2 > \lambda_3$ denote vacuum wavelengths for all interaction types: SHG, SFM, DFM, OPO, OPA.
- 2) abc denotes crystallographic axes (IEEE/ANSI).
- 3) $\alpha\beta\gamma$ denote interaxial angles (IEEE/ANSI).
- 4) XYZ denotes reporting frame for crystal tensor properties, such as nonlinear $d_{i\mu}$ (IEEE/ANSI).
- 5) xyz denotes principal optic axis frame (traditional).
- 6) $n_x \leq n_y \leq n_z$ denote refractive indexes for polarization along axes x , y , and z (traditional).
- 7) $123 = xyz/yzx =$ the POSITIVE/NEGATIVE NLO FRAME for $\theta, \phi, \delta, \rho$ reporting for POSITIVE/NEGATIVE crystals, where θ is measured from "3" toward the "12" plane and ϕ is measured from "1" toward "2", and where NLO crystal is considered POSITIVE/NEGATIVE according to $\Omega_{ave} \leq 45^\circ$ or $\Omega_{ave} > 45^\circ$ in a given interaction, but either NLO FRAME could be used in marginal cases.

Crystal System unit cell info	Class	<i>abc</i> -Identification			<i>XYZ</i> -Identification			
		<i>c</i>	<i>a</i>	<i>b</i>	<i>X</i>	<i>Y</i>	<i>Z</i>	
Triclinic $c_0 < a_0 < b_0$ $\alpha, \beta > 90^\circ$	1					$\langle 010 \rangle$	<i>c</i>	
Monoclinic $c_0 < a_0$ $\alpha, \gamma = 90^\circ$ $\beta > 90^\circ$	2 m			2	$\langle 100 \rangle$	<i>b</i>	<i>c</i>	
				$\perp m$	$\langle 100 \rangle$	<i>b</i>	<i>c</i>	
Orthorhombic $c_0 < a_0 < b_0$ $\alpha, \beta, \gamma = 90^\circ$ (see Note 1)	222 (mm2 m2m 2mm)	2 2	2	2	<i>a</i> <i>a</i> <i>c</i> <i>c</i>	<i>b</i> <i>b</i> <i>a</i> <i>b</i>	<i>c</i> <i>c</i> <i>b</i> <i>a</i>	
		<i>c</i>	<i>a</i> ₁	<i>a</i> ₂				
Tetragonal $a_0 = b_0$ $\alpha, \beta, \gamma = 90^\circ$	4 4 422 4mm 42m	4 4 4 4	† † 2 $\perp m$ 2		<i>a</i> ₁ <i>a</i> ₁ <i>a</i> ₁ <i>a</i> ₁ †	<i>a</i> ₂ <i>a</i> ₂ <i>a</i> ₂ <i>a</i> ₂ †	<i>c</i> <i>c</i> <i>c</i> <i>c</i> <i>c</i>	
		<i>c</i>	<i>a</i> ₁	<i>a</i> ₂	<i>a</i> ₃			
Trigonal †	3 32 3m	3 3 3	† 2 $\perp m$		<i>a</i> ₁ <i>a</i> ₁ <i>a</i> ₁		<i>c</i> <i>c</i> <i>c</i>	
Hexagonal †	6 6	6 6	† †		<i>a</i> ₁ <i>a</i> ₁		<i>c</i> <i>c</i>	
$(a_0)_1 =$	622	6	2	2	2	<i>a</i> ₁	<i>c</i>	
$(a_0)_2 =$	6mm	6	$\perp m$	$\perp m$	$\perp m$	<i>a</i> ₁	<i>c</i>	
$(a_0)_3$	6m2	6	2	2	2	<i>a</i> ₁	† <i>c</i>	
		<i>a</i> ₁	<i>a</i> ₂	<i>a</i> ₃				
Cubic $a_0 = b_0 = c_0$ $\alpha, \beta, \gamma = 90^\circ$	23 43m	2 4	2 4	2 4		<i>a</i> ₁ <i>a</i> ₁	<i>a</i> ₂ <i>a</i> ₂	<i>a</i> ₃ <i>a</i> ₃

Note 1: Std. 176-1987 definitions of axes *abc* for the polar orthorhombic class (mm2/m2m/2mm) have not been embraced by the physics and engineering communities. Hence, we recommend that the NLO community and IEEE Standards Board adopt “Z = *c* = polar (already a *de facto* standard), X = *a*, Y = *b*, $a_0 < b_0$.” This does not affect XYZ, and gives an automatic, convenient, mm2 designation to all crystals in this class.



POSITIVE NLO FRAME

Uniaxial Positive : $(n_x = n_y = n_o) < (n_z = n_e)$
 Biaxial Positive : $n_x < n_y < n_z$, $\Omega_{ave} \leq 45^\circ$

NEGATIVE NLO FRAME

Uniaxial Negative : $(n_z = n_y = n_o) > (n_x = n_e)$
 Biaxial Negative : $n_z > n_y > n_x$, $\Omega_{ave} > 45^\circ$

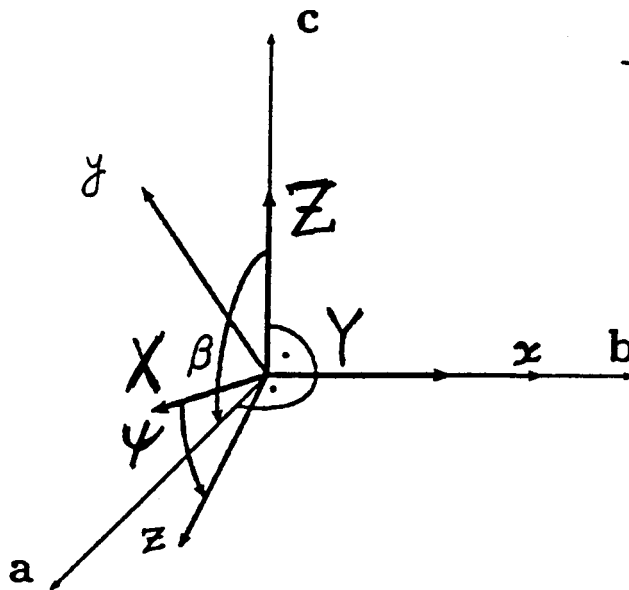
(a)

(b)

1st Octant (+ optional 4-octant stereonet) of proposed positive and negative NLO reporting frames.

BiB₃O₆

2

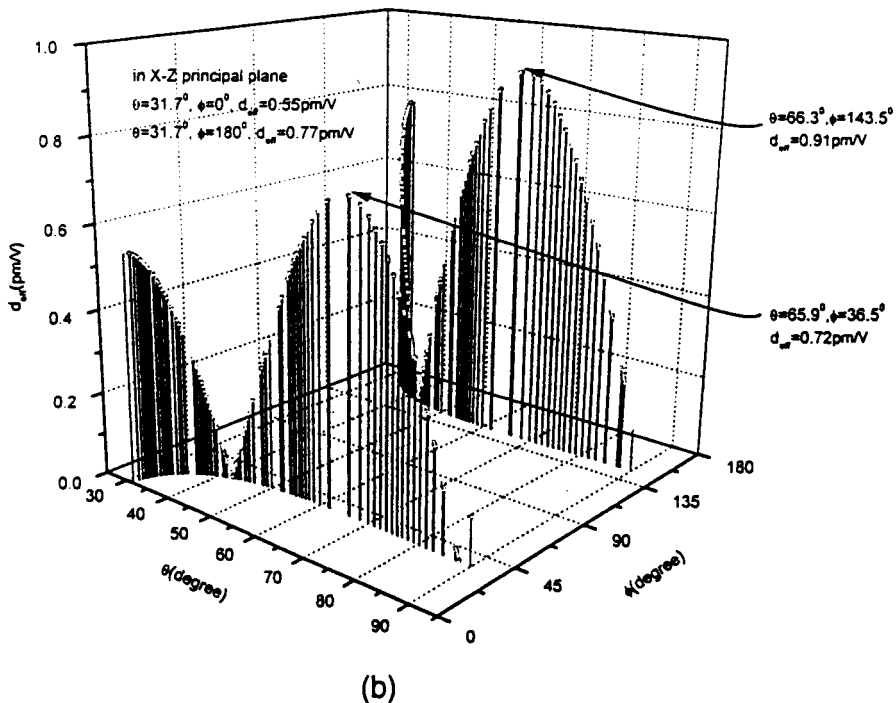
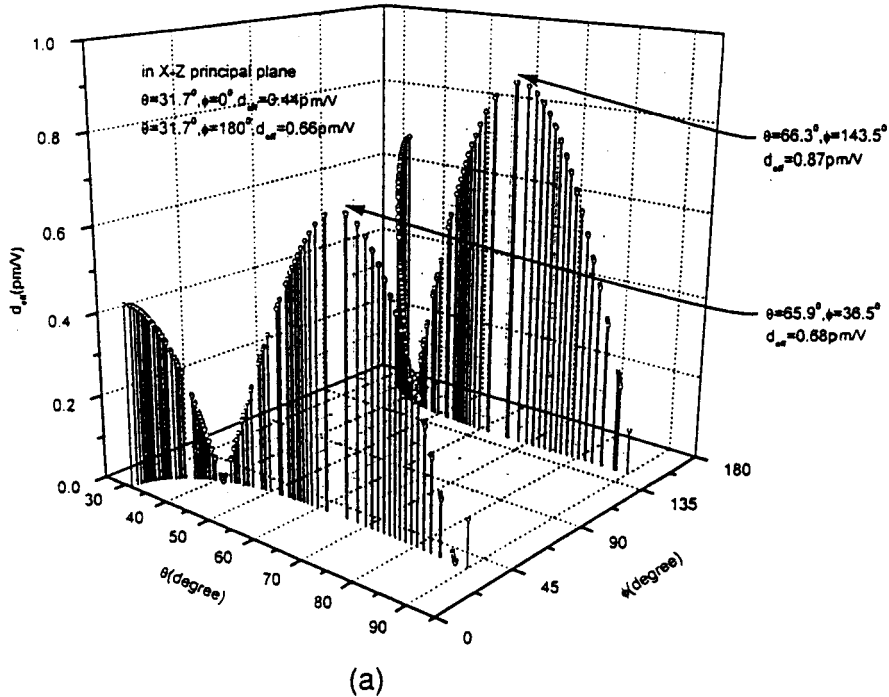


Orientation of the crystalphysical coordinate system XYZ assignment of the main refractive indices x, y, z and definition of the dispersion angle ψ

1. xyz frame exhibits temperature and wavelength dispersion (the indicatrix rotates) with respect to the XYZ crystalphysical frame.
2. In the monoclinic classes 2 and m the rotation is around the $Y \equiv b$ axis which coincides with one principal optic (dielectric) axis and can be described by the additional angle $\psi(T, \lambda)$. There is an additional convention $Z \equiv c$.
3. In the triclinic class 1 we have only the second convention $Z \equiv c$, but none of the xyz axes coincides with any of the XYZ or abc axes. The rotation of the indicatrix xyz with respect to XYZ is generally described by two angles.
4. In the monoclinic and triclinic classes the maximum d_{eff} could be out of the principal planes and in general because of the low symmetry we have to consider more than one octants.

$YCa_4O(BO_3)_3 \equiv YCOB$
 calculation of d_{eff}

(m)



(a) Three-dimensional space relationships between the d_{eff} coefficient and phase-matching angle (θ , ϕ) of YCOB with (a) d_{ij} coefficients calculated by the Gaussian 92 program and (b) d_{33} and d_{32} coefficients measured by the Maker fringes method and d_{12} , d_{11} , d_{13} , and d_{31} coefficients calculated by the Gaussian 92 program.

SHG @ 1064 nm