

NONLINEAR OPTICS OF ULTRASHORT PULSES: THEORETICAL FUNDAMENTALS

J: Herrmann; Max-Born-Institute Berlin

CONTENT:

1. Basic equations

2. Linear optics of femtosecond pulses

2.1 Characterization of ultrashort pulses

2.2 Linear propagation: dispersion and diffraction

2.3 Phase control by optical elements with anomalous dispersion: prism pairs, gratings, chirped mirrors, spatial light modulators

3. Nonresonant nonlinear processes

3.1 Optical Kerr effect in isotropic media

-Self phase modulation

-Pulse compression

-Optical solitons

-Self-focusing and Kerr-lensing

3.2 Second harmonic generation

3.3 Frequency mixing, parametric three- and four-photon interaction

3.4 Ionization and damage

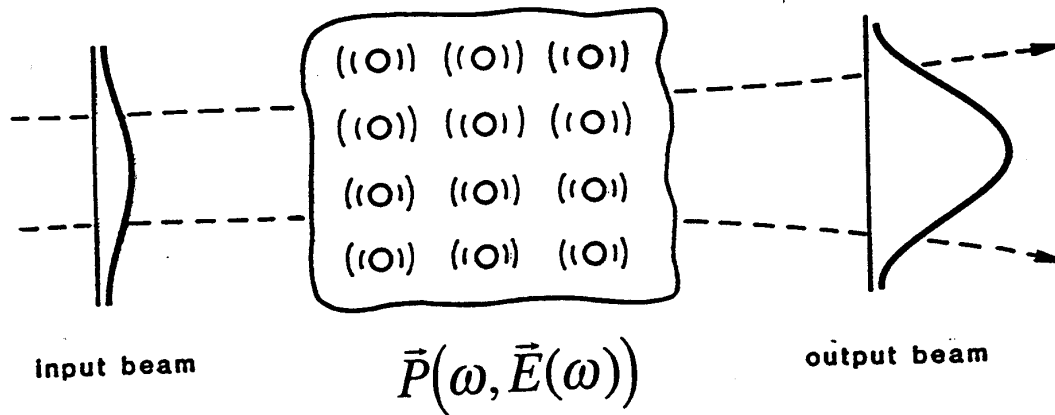
REFERENCES

J. Herrmann, B. Wilhelmi: Lasers for Ultrashort Pulses

J-C. Diels, W. Rudolph: Ultrashort Laser Pulse Phenomena

S.A.Achmanov, V.A.Vysloukh, A.S.Chirkin: Optics of Femtosecond Laser Pulses

1. Basic equations



Grundgleichung:
$$\vec{\nabla} \times \vec{\nabla} \times \vec{E} + \frac{1}{c^2} \frac{\partial^2 \vec{E}}{\partial t^2} = +\mu_0 \frac{\partial^2 \vec{P}}{\partial t^2}$$

Polarisation: $\vec{P} = \vec{P}_{nr} + \vec{P}_{res}$

$$\vec{P}_{nr(\omega)} = \epsilon_0 \left[\chi^{(1)}(\omega) E(\omega) + \chi^{(3)}_{(\omega=\omega_1+\omega_2+\omega_3)} : E(\omega_1) E(\omega_2) E(\omega_3) + \dots \right]$$

(isotropic media)

Dispersion:

$$\chi^{(1)}(\omega) = \chi^{(1)}(\omega_l) + (\omega - \omega_l) \left. \frac{d\chi^{(1)}}{d\omega} \right|_{\omega_l} + \frac{(\omega - \omega_l)^2}{2} \left. \frac{d^2\chi^{(1)}}{d\omega^2} \right|_{\omega_l}$$

Kerr - Effekt: $\chi^{(3)}(\omega = \omega_l - \omega_l + \omega_l)$

nichtlineare Brechzahländerung: $n = n_0 + n_2 |E(\omega)|^2$

$$n_2 = \frac{3\chi^{(3)}}{2n_0}$$

Third Harmonic generation: $\chi^{(3)}(\omega = \omega_1 + \omega_1 + \omega_1)$

Four-wave mixing

$$\chi^{(3)}(\omega = \omega_1 + \omega_2 - \omega_3)$$

Slowly varying envelope approximation (SVEA)

$$\bar{E} = \frac{1}{2} [A(x, y, z, t) \bar{e} \exp[i(\omega_l t - k_l z)] + c.c.]$$

$$\bar{P} = \frac{1}{2} [\tilde{P}(x, y, z, t) \bar{e} \exp[i(\omega_l t - k_l z)] + c.c.]$$

$$k^2 = \frac{\omega^2}{c^2} \epsilon(\omega) = \frac{\omega^2}{c^2} (1 + \chi_{(\omega)}^{(1)}), \quad k_0'' = \left. \frac{d^2 k}{d\omega^2} \right|_{\omega_l}, \quad v_g = \left(\frac{dk}{d\omega} \right)^{-1}$$

$$\eta = t - \frac{z}{v_g}, \quad n_0^2(\omega) = 1 + \chi_{(\omega)}^{(1)}, \quad v_g - \text{group velocity}$$

$$\left| \frac{\partial A}{\partial z} \right| \ll k|A|, \quad \left| \frac{\partial A}{\partial \eta} \right| \ll \omega|A|,$$

k_0'' - group velocity dispersion (GVD)

$k_0'' > 0$ normal GVD

$k_0'' < 0$ anomalous GVD

$$\boxed{\begin{aligned} & \frac{\partial A}{\partial z} + \frac{i}{2k_l} \left(\frac{\partial^2 A}{\partial x^2} + \frac{\partial^2 A}{\partial y^2} \right) - \frac{i}{2} k_0'' \frac{\partial^2 A}{\partial \eta^2} \\ & = - \frac{i\mu_0 \omega_l^2}{2k_l} \tilde{P}_{nr} \end{aligned}}$$

2. LINEAR OPTICS OF FEMTOSECOND PULSES

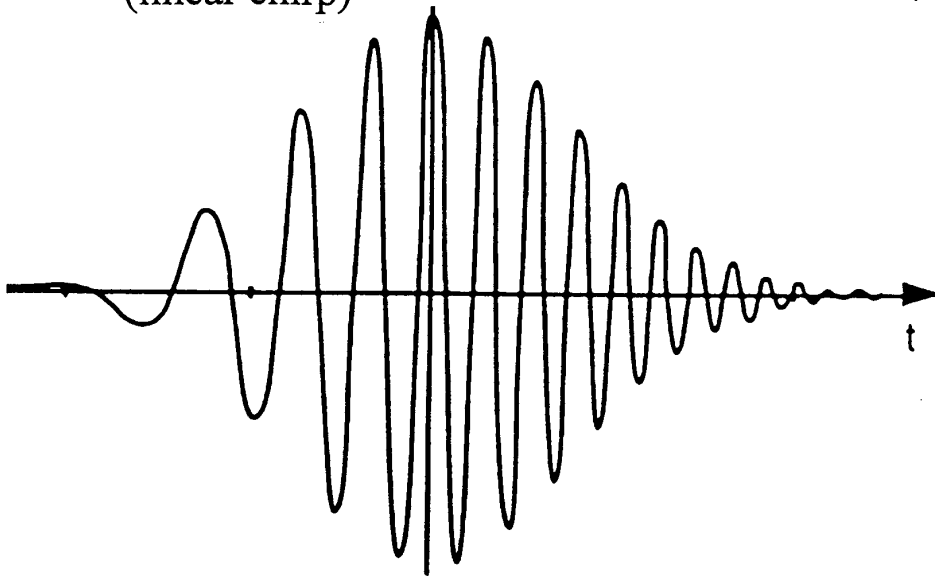
2.1 Characteristics of ultrashort pulses

Gaussian pulse shape of a phasemodulated (chirped) pulse

$$A(x, y, \eta) = A_0(x, y) \exp\{-\eta^2(1-i\beta)/\tau^2\}$$

$\tau_p = (2 \ln 2)^{1/2} \tau$ - pulse duration, β - chirp parameter

instantaneous frequency: $\omega(\eta) = \omega_0 + \frac{d\varphi(\eta)}{d\eta} = \omega_0 + 2\beta\eta$
(linear chirp)



$\beta > 0$
up-chirp

$\beta < 0$
down-chirp

Fourier transformation $E(\omega) = \int_{-\infty}^{\infty} E(t) e^{i\omega t} dt = |E(\omega)| e^{i\phi(\omega)}$

spectrum :

$$I(\omega) \sim |E(\omega)|^2 \sim \exp\left(-\frac{\omega^2 \tau^2}{2(1+\beta^2)}\right)$$

spectral phase

$$\phi(\omega) = -\frac{\beta \tau^2 \omega^2}{4(1+\beta^2)} + \frac{1}{2} \arctan \beta$$

pulse duration-bandwidth product :

Gauss: $c_{\beta} = 0.441$, sech: $c_{\beta} = 0.315$

$$\Delta \nu_p \tau_p = c_{\beta} \sqrt{1+\beta^2}$$

2.2 Linear propagation: dispersion and diffraction

Basic equation :

$$\frac{\partial A}{\partial z} + \frac{i}{2k_1} \left(\frac{\partial^2 A}{\partial x^2} + \frac{\partial^2 A}{\partial y^2} \right) - \frac{i}{2} k_0'' \frac{\partial^2 A}{\partial \eta^2} = 0$$

diffraction dispersion

Input pulse and beam shape: gaussian

$$A(x, y, z, \eta) = A_0 \alpha(z) \exp \left[-\frac{ik_1 r^2}{2q(z)} - \frac{\eta^2}{2} \left(\frac{1}{\tau_1^2(z)} - i\beta(z) \right) + i\varphi(z) \right]$$

$$\frac{1}{q(z)} = \frac{1}{R(z)} - \frac{i\lambda}{\pi w^2(z)}, \quad \frac{1}{p(z)} = \beta(z) - i \frac{1}{\tau_1^2(z)}, \quad r^2 = x^2 + y^2$$

$R(z)$ - Krümmungsradius, $w(z)$ - Bündelradius,

$\tau_1(z)$ - Impulsbreite, β - Chirpparameter

$$\frac{dq}{dz} = 1, \quad \frac{dp}{dz} = k_0''$$

$$q = q_0 + z, \quad q_0^{-1} = -\frac{i\lambda}{\pi w_0^2}$$

$$w^2(z) = w_0^2 \left(1 + \frac{1}{2} \left(\frac{\lambda z}{\pi w_0^2} \right)^2 \right)$$

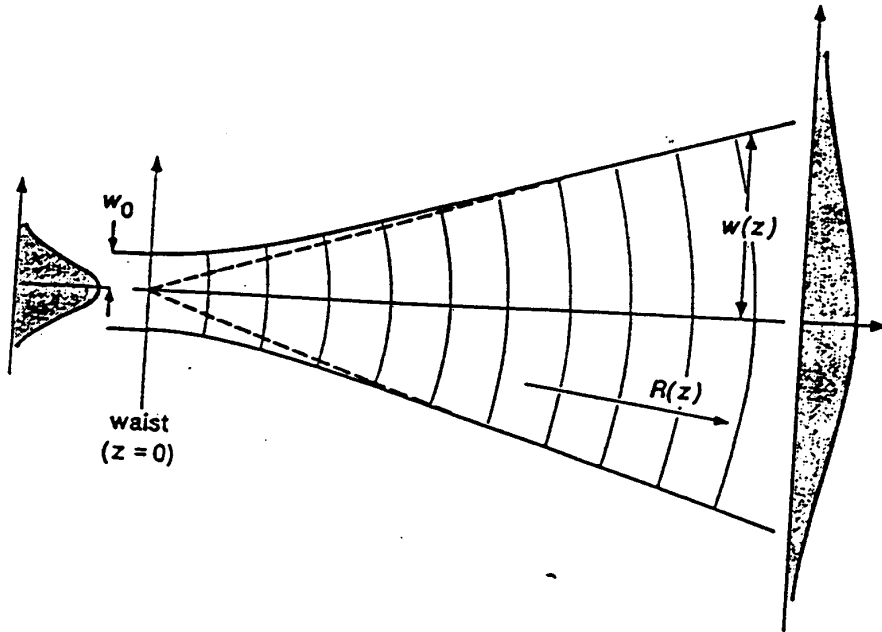
$$R(z) = z \left(1 + \frac{1}{2} \left(\frac{\pi w_0^2}{\lambda z} \right)^2 \right)$$

$$p = p_0 + k_0'' z, \quad \frac{1}{p_0} = -i \frac{1}{\tau_0^2}$$

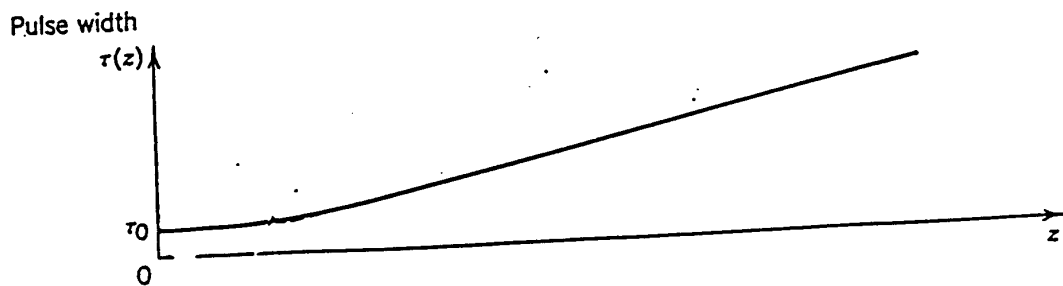
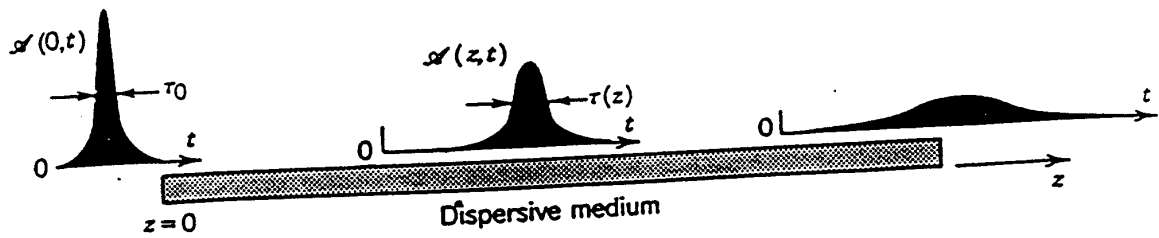
$$\tau^2(z) = \tau_0^2 \left(1 + \left(\frac{k_0'' z}{\tau_0^2} \right)^2 \right)$$

$$\beta(z) = \frac{k_0'' z}{\tau_0^4 \left(1 + \left(\frac{k_0'' z}{\tau_0^2} \right)^2 \right)}$$

Beugung: $q = q_0 + z$



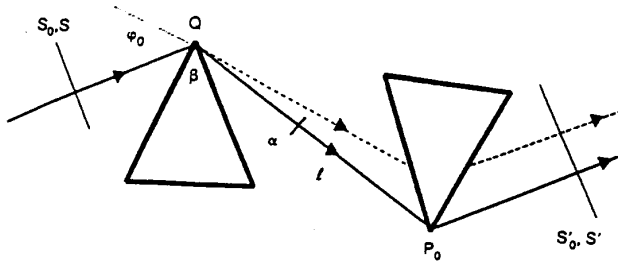
Dispersion: $p = p_0 + zk_0''$



2.3 Phase control by optical elements with anomalous dispersion.

$$E_{out}(\omega) = |E_{in}(\omega)| e^{i\varphi(\omega)} T(\omega) e^{i\varphi(\omega)}$$

Prism sequency with anomalous GVD without net angular dispersion



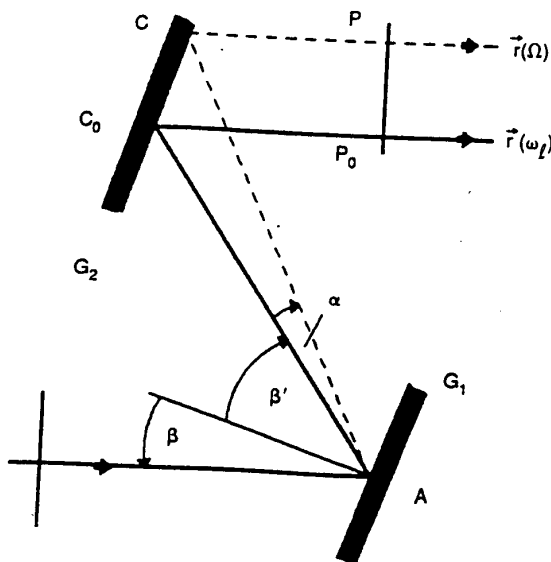
$$\varphi(\omega) = \frac{\omega}{c} l n \alpha$$

$$\frac{d\alpha}{d\omega} = \frac{d\alpha}{dn} \frac{dn}{d\omega}$$

Brewster condition:

— ray for ω_0 - - - - ray for ω , $\frac{d\alpha}{dn} = -2$

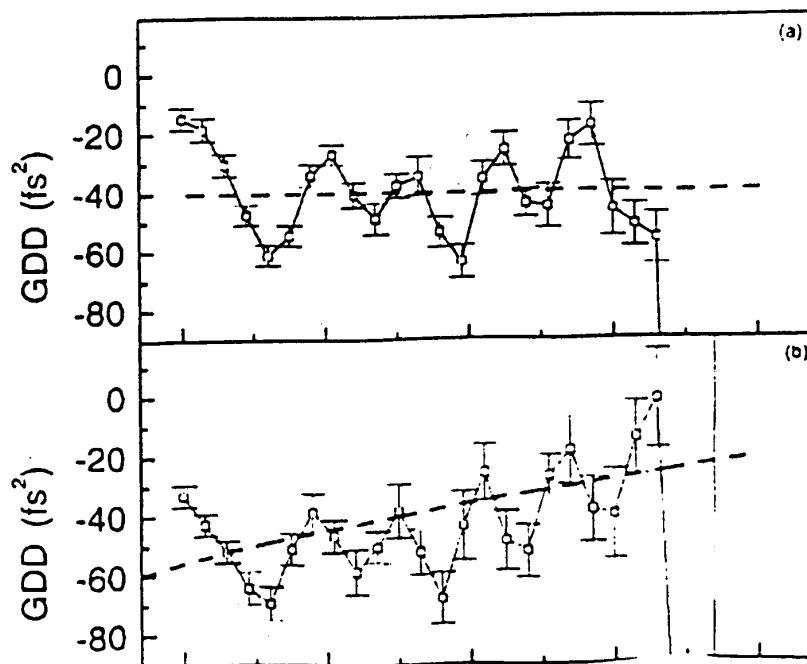
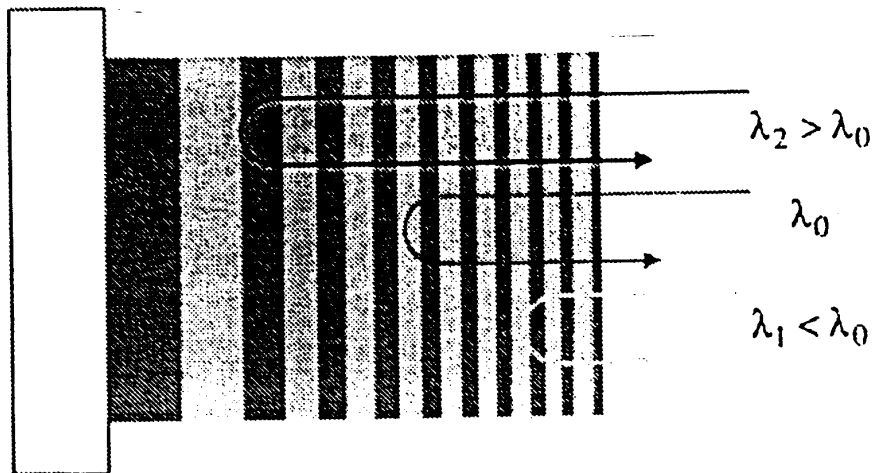
Parallel gratings with anomalous GVD without net angular dispersion



Examples for second and third order dispersion:

Device	λ_e [nm]	ω_e [fs ⁻¹]	Ψ'' [fs ⁻²]	Ψ''' [fs ⁻³]
SQ1 ($L = 1$ cm)	620	3.04	550	240
	800	2.36	362	280
Brewster prism pair, SQ1 $l = 50$ cm	620	3.04	-760	-1300
	800	2.36	-523	-612
grating pair $b = 20$ cm; $\beta = 0^\circ$ $d = 1.2 \mu\text{m}$	620	3.04	$-8.2 \cdot 10^4$	$1.1 \cdot 10^5$
	800	2.36	$-3 \cdot 10^6$	$6.8 \cdot 10^6$

Dispersion by chirped multilayer mirrors



Spatial light modulators (SLM): Phasemodulation and adaptive control

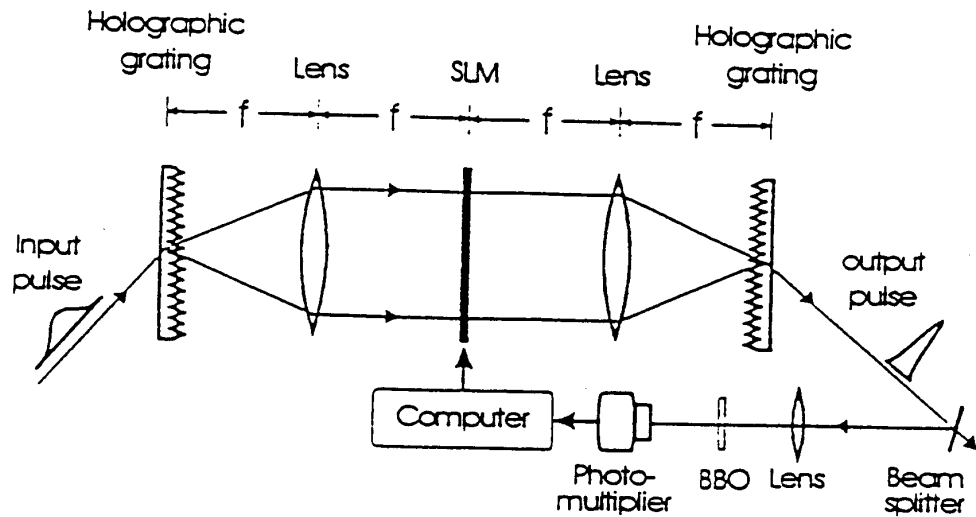


Figure 2. Schematic layout of the experimental setup for adaptive femtosecond pulse compression. The setup is composed of a programmable 4- f pulse shaper, a feedback measurement arrangement and a computer.

3. Nonresonant nonlinear processes

3.1 Optical Kerr effect in isotropic media

$$\frac{\partial A}{\partial z} + \frac{i}{2k_l} \left(\frac{\partial^2 A}{\partial x^2} + \frac{\partial^2 A}{\partial y^2} \right) - \frac{i}{2} k_0'' \frac{\partial^2 A}{\partial \eta^2} = -i \frac{\omega_l}{c} \Delta n(|A|^2) A$$

Kerr - Effekt: $\Delta n(|A|^2) = n_2 |A|^2$

Selbstphasenmodulation

$$i \frac{\partial A(\eta)}{\partial z} = \kappa |A|^2 A(\eta)$$

$$A(z, \eta) = A_0(\eta) \exp(-i\kappa |A_0(\eta)|^2 z)$$

$$\approx A_0(\eta) \exp(-i\kappa z |A_0^{max}|^2 [1 - \beta\eta^2 + \dots])$$

spectral broadening

$$\Delta\omega = \Delta\omega_{in} \cdot \alpha |A_{0max}|^2 L$$

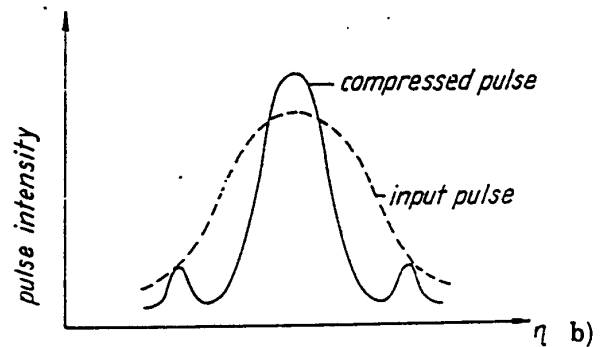
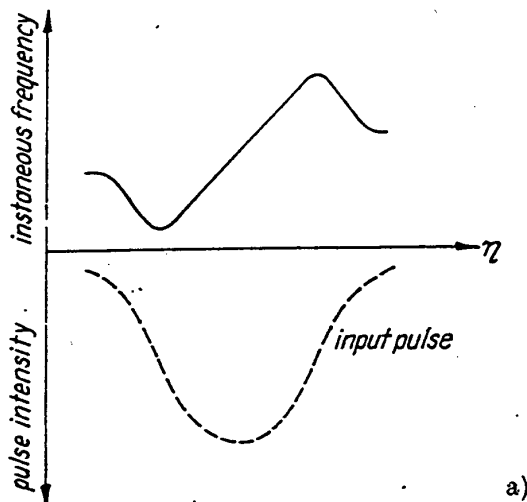


Fig. 8.12.

- Chirp generation in a nonlinear optical medium
- Compression of a chirped pulse after passing through a linear dispersive medium

Dispersives nichtlineares Medium ($k_l'' > 0$)

$$i \frac{\partial A}{\partial z} = -\frac{k_l''}{2} \frac{\partial^2 A}{\partial \eta^2} + \kappa |A|^2 A$$

$$\tau_L \approx 2 \sqrt{\frac{\kappa_l'' \alpha / |A_0|^2}{\kappa_l''}}$$

$$\beta \rightarrow \frac{1}{\kappa_l'' z} \quad (\text{linear chirp})$$

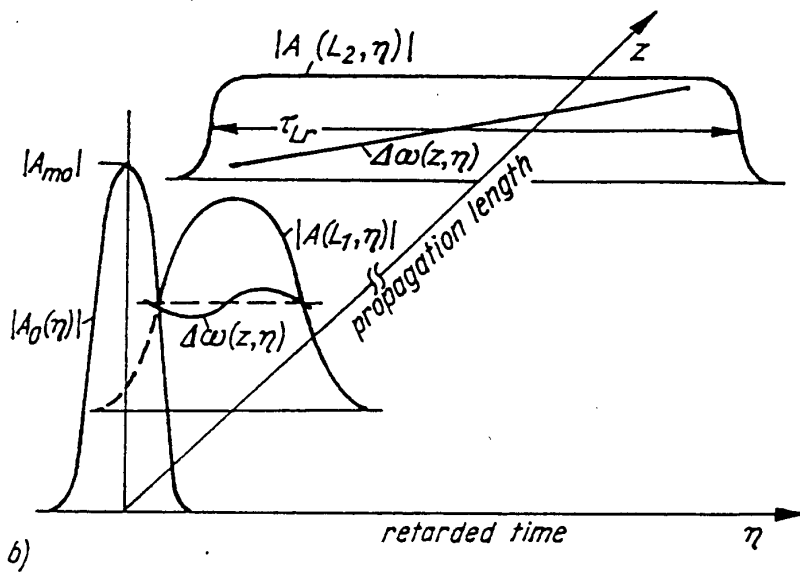
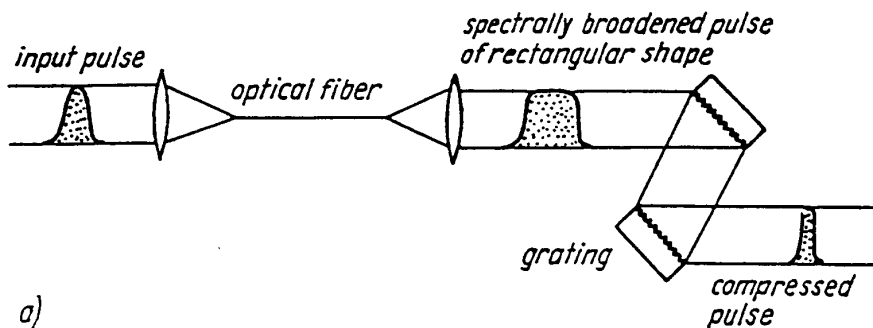


Fig. 8.13.

- a) Scheme of an experimental arrangement for pulse shortening
- b) Pulse evolution

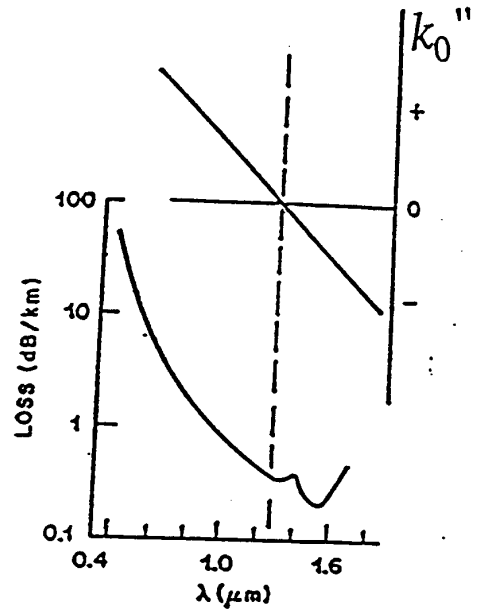
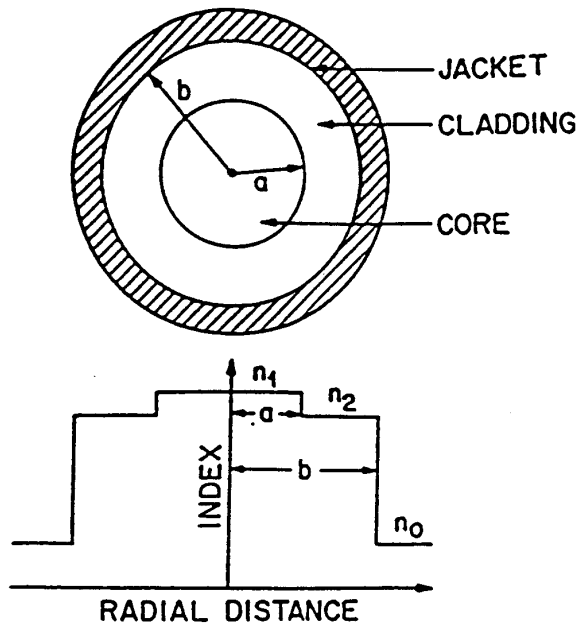
Impulskompression



a)

$$Z_{opt} \approx 2 \frac{\tau_{L0}}{\sqrt{\alpha / |A_0|^2 \kappa_l''}}, \quad (\tau_L)_{comp.} \approx 2 \sqrt{\frac{\kappa_l''}{\alpha / |A_0|^2}}$$

Optische Solitonen



Hasegawa; Tappert (1973), Mollenauer u.a. (1981)

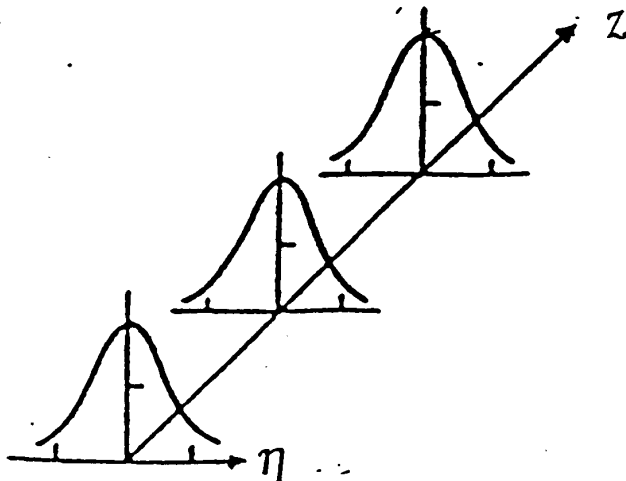
$$\Delta n = n_2 |A|^2, \quad k_0'' < 0: \quad A(z, \eta) = e^{i\beta z} \varphi(\eta)$$

$$\varphi = A_s \operatorname{sech} \left(\frac{\eta}{\tau_l} \right)$$

Soliton ($N = 1$)

$$A_s = \frac{1}{\tau_l} \sqrt{\frac{|k_0''| n_0}{n_2 k_l}}$$

$$\tau_l = 10 \text{ ps}, P_s \approx 1 \text{ W}$$



Self-Focusing

Quasi-steady state self-focusing for ps and ns-pulses:

$$\frac{\partial A}{\partial z} + \frac{i}{2k_1} \left(\frac{\partial^2 A}{\partial x^2} + \frac{\partial^2 A}{\partial y^2} \right) = -iK|A|^2 A$$

Analytical approximation: $A(r, z) = \frac{A_0 \omega_0^2}{\omega^2(z)} \exp\left[-\frac{r^2}{2} \left(\frac{1}{\omega^2(z)} + i g(z) \right)\right]$

Beam radius: $\omega^2(z) = \omega_0^2 \left[1 + \frac{z^2 \lambda^2}{2\pi^2 \omega_0^4} (1 - P_0/P_{cr}) \right]$

Critical power of self-focusing:

$$P_{cr} = c \lambda^2 / 16 \pi^2 n_2$$

P_0 - input power

$P_0 < P_{cr}$ diffraction dominates

$P_0 > P_{cr}$ self-focusing dominates

Catastrophic self-focusing:

$$\omega(z) \rightarrow 0$$

Fokal length:

$$z_f = \sqrt{2} \pi \lambda^{-1} (P_0/P_{cr} - 1)^{-1/2}$$

Moving Fokus model:

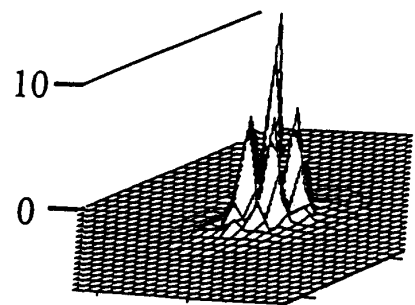
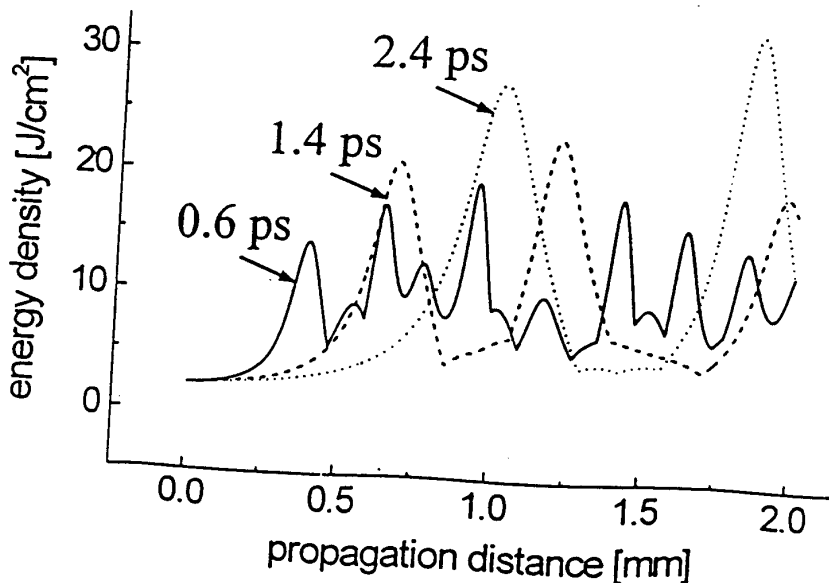
$$P_0 = P_0(z) \quad (\text{long pulses})$$

Self-focusing depends on time:

leads to pulse compression and phasemodulation

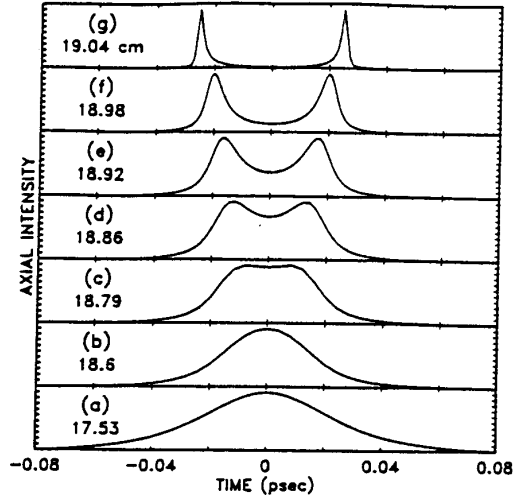
Filamentation and spontaneous symmetry-breaking

(Real physical behavior determined by transverse instabilities)



Self-focusing of fs -pulses

Influence of dispersion: temporal broadening, increase of the critical power of self-focusing and pulse splitting in dependence on the pulse duration



Kerr Lens Effect

radial dependence of the intensity leads to an intensity-dependent lens effect:

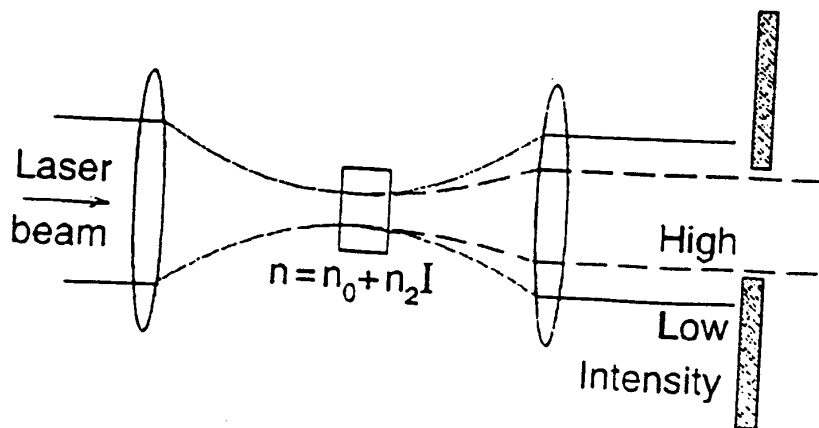
$$\Delta n = n_2 I_0 \left(1 - \frac{r^2}{2w^2} \right)$$

Focal length of a Kerr-lens: $\frac{1}{f_K} = \alpha n_2 I_0 L / w^2$

\propto numerical factor: $\alpha \sim 2 \dots 8$

Physical basis for Kerr-lens modelocking: Intensity-dependent (saturable) loss at an aperture (or intensity-dependent gain due to gain guiding):

$$\alpha(I) = \alpha_0 - \gamma I(\eta)$$



Self-focusing-induced changes in the transmittivity

3.2 Second harmonic generation

Anisotropic media: second order nonlinearity ($\chi^{(2)} \neq 0$)

Basic equations:

$$\left(\frac{\partial}{\partial z} + \frac{1}{v_1} \frac{\partial}{\partial t}\right) A_1 = -i \Delta^{(2)}(\omega_1, 2\omega_1, -\omega_1) A_2 A_1^* e^{-i\Delta k z}$$

$$\left(\frac{\partial}{\partial z} + \frac{1}{v_2} \frac{\partial}{\partial t}\right) A_2 = -i \Delta^{(2)}(2\omega_1, \omega_1, \omega_1) A_1^2 e^{i\Delta k z}$$

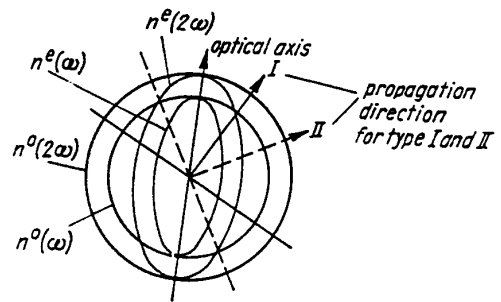
Phase mismatch

$$\Delta k = k_2 - k_1 = \frac{2\omega}{c} (n(2\omega) - n(\omega_1)) \approx 0$$

Bire-fringent crystal

$$n^e(\theta, 2\omega_1) = n^o(\omega_1)$$

$$n^o(2\omega_1) = n^e(\theta, \omega_1)$$



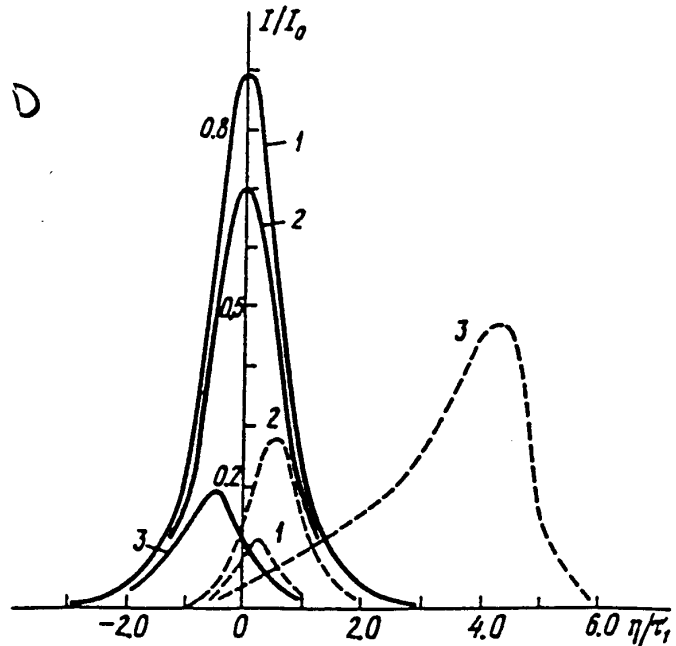
Solution for small signal amplification

$$A_2\left(t - \frac{z}{v_2}, z\right) = -i \Delta^{(2)} \int_0^z A_1^2\left(t - \frac{z}{v_2} + D \frac{z'}{c}\right) e^{i\Delta k z'} dz'$$

group delay $D = c \left(\frac{1}{v_2} - \frac{1}{v_1} \right)$

walk-off length $L_w = c \tau_1 / D$

Numerical solution



Shapes of the pulses I_1/I_0 of fundamental frequency (solid lines) and I_2/I_0 (dashed lines) of SH for $L_w = L_{conv}$ and different lengths z/L_{conv} :¹

3.3 Frequency mixing, parametric three- and four-photon interaction

Second-order nonlinear crystal: $\chi^{(2)}(\omega, \omega_1, \omega_2) \neq 0$

Sum- and difference frequency generation

input pulses with frequencies ω_1, ω_2 output pulse

with $\omega_3 = \omega_1 \pm \omega_2$

phase matching condition: $\Delta k = k_3 - k_1 - k_2 \approx 0$

Parametric amplification:

ω_p - input pump pulse, ω_s - weak signal (or noise),

ω_i - idler pulse:

$$\begin{aligned} \frac{\partial A_s}{\partial z} + \frac{1}{v_s} \frac{\partial A_s}{\partial t} &= -i \Delta_s^{(2)} A_p A_i^* e^{i\Delta k z} \\ \frac{\partial A_i}{\partial z} + \frac{1}{v_i} \frac{\partial A_i}{\partial t} &= -i \Delta_i^{(2)} A_p A_s^* e^{i\Delta k z} \\ \frac{\partial A_p}{\partial z} + \frac{1}{v_p} \frac{\partial A_p}{\partial t} &= -i \Delta_p^{(2)} A_i A_s e^{-i\Delta k z} \end{aligned}$$

phase matching determines the direction of idler:

$$\vec{k}_p = \vec{k}_s + \vec{k}_i - \Delta \vec{k}$$

group velocity mismatch effect

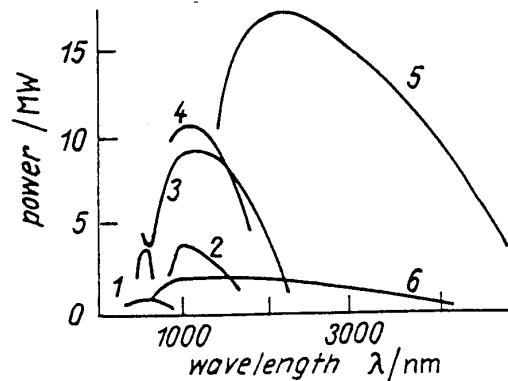
$$D_s = c \left(\frac{1}{v_p} - \frac{1}{v_s} \right), \quad D_i = c \left(\frac{1}{v_p} - \frac{1}{v_i} \right)$$

signal wave:

$$A_p(z, t) \approx A_{p0}(\eta_p), \quad \eta_p = t - \frac{z}{v_p}$$

$$A_s(\eta_s, z) = A_{s0}(\eta_s) \cosh \left[\Delta^{(2)} \int_0^z A_{p0}(\eta_s + D_s \frac{z'}{c}) dz' \right]$$

Frequency detuning by parametric amplification



Tuning range of a parametric generator

Four-wave mixing

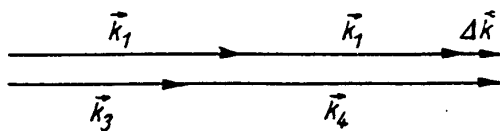
third-order nonlinearity: $\chi^{(3)} \neq 0, \chi^{(2)} = 0$

third harmonic: $\chi^{(3)}(3\omega_1, \omega_1, \omega_1, \omega_1): \omega_1 + \omega_1 + \omega_1 \rightarrow 3\omega_1$

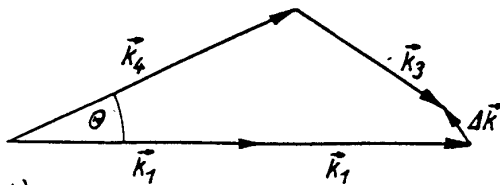
four-photon interaction: $\chi^{(3)}(\omega_1, -\omega_2, \omega_3, \omega_4): \omega_1 + \omega_2 \rightarrow \omega_3 + \omega_4$

degenerate four-wave mixing: $2\omega_1 \rightarrow \omega_3 + \omega_4$

colinear and noncolinear interaction:



a)



b)

Fig. 8.6. Phase matching condition of the parametric four photon interaction

3.4 Ionization and damage

Strong-field nonlinear optics (non-perturbativ)

Perturbative Taylor expansion of the polarization becomes invalid for high intensities ($I > 10^{13} \text{ W/cm}^2$) due to ionization

Free electron density N_e (plasma)

$$\frac{\partial N_e}{\partial t} = \underbrace{(N_0 - N_e) W(E(t))}_{\text{(optical field ionization)}} + \underbrace{N_e f |E|^2}_{\text{(avalanche ionization)}}$$

(optical field ionization) (avalanche ionization)

$W(E(t))$ -ionization rate, E_I ionization energy, N_0 - atom density
electron collision time $-\tau_c$, $f = \frac{e^2 \tau_c}{2m e E_I} (1 + \omega^2 \tau_c^2)^{-1}$

neglect of avalanche ionization for short pulses:

$$N_e = N_0 \left(1 - \exp \left[- \int_{-\infty}^t dt' W(E(t')) \right] \right)$$

Regimes of optical ionization

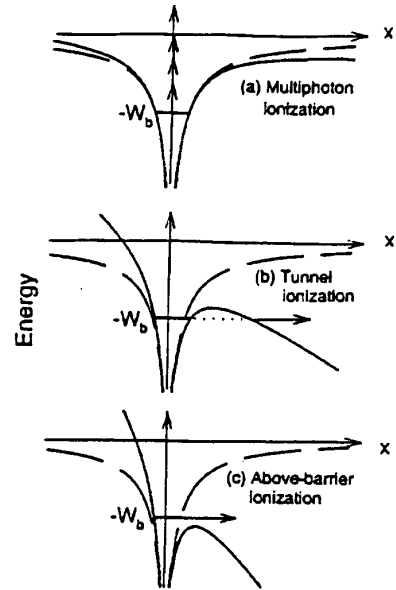
Keldysh parameter:
$$\gamma = \frac{\omega \sqrt{2m E_I}}{e E_0}$$

$\gamma > 1$: n-photon ionization (Keldysh formula)

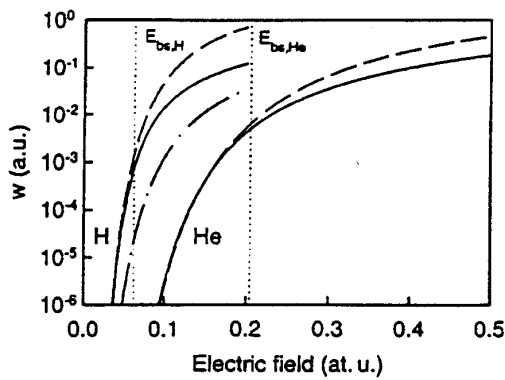
$\gamma < 1$ quasi-static tunneling ionization (Amosov-Delone-Kraiev formula)

$\gamma \ll 1$ above barrier ionization (suppression of the Coulomb barrier below the ground state)

regimes of optical ionization

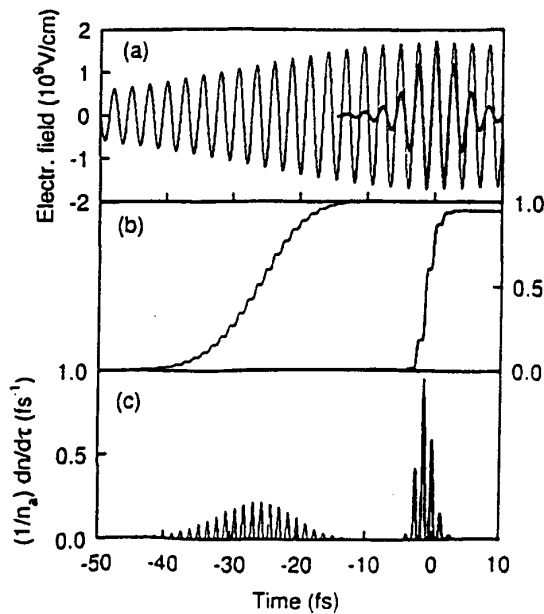


ionization rates in different models



————— numerical
 - - - - - Amosov - Delone
 - . - . - Keldysh

fraction of ionized electrons and ionization rate



$E(t)$

$N_e(t)/N_a$

$W(E(t))$

plasma contribution to polarization

Free electron motion:
$$\frac{d^2 X}{dt^2} + \frac{1}{\tau_c} \frac{dX}{dt} = \frac{e}{m} E(t)$$

Polarization
$$\dot{P}_{free} = J_{free} = e N_e(E(t)) \dot{X}$$

Wave equation
$$2ik \frac{\partial A}{\partial z} + k k'' \frac{\partial^2 A}{\partial t^2} - \left(\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} \right) A - 2 \frac{k^2 n_2}{n_0} |A|^2 A$$

$$N_{cr} = \frac{\omega_0^2 m^4 \epsilon_0}{e^2} + \frac{k^2}{n_0} (1 + i\omega_0 \tau_c) \frac{N_e}{N_{cr}} A = 0$$

N_{cr} - critical plasma density, ω_0 central frequency

Propagation effects of plasma electrons :

contribution to the refractive index:
$$\Delta n_{pl} = -\frac{1}{2} N_e(E(t)) / N_{cr}$$

Linear dispersive plasma effects :
$$N_e = N_e^0 = \text{const}$$

shift of group velocity and GVD:
$$\Delta n_{pl} = -\frac{1}{2} \omega_{pl}^2 / \omega^2$$

$$\frac{\partial \Delta K}{\partial \omega} \Big|_{\omega_0} = \omega_{pe}^2 / \omega_0^3 c$$

$$\omega_{pl}^2 = e^2 N_e^0 / m^4 \epsilon_0$$

anomalous GVD contribution

$$K_{pl}'' = \frac{\partial^2 (\Delta K)}{\partial \omega^2} \Big|_{\omega_0} = -\omega_{pe}^2 / c \omega_0^3$$

Nonlinear plasma effects : $\Delta\varphi = -L\omega_0 N_e(\epsilon(t)) / c$

$$N_e(t) \approx N_a \int^t W(\epsilon(t')) dt'$$

(weak ionization : $N_e \ll N_a$)

blue-shift $\omega(t) = \omega_0 - L \frac{\omega_0 N_a}{c} \cdot W(\epsilon(t))$

self-phase modulation (opposite to Kerr-effect)

$$\Delta\varphi = \Delta\varphi(t)$$

self-defocusing (opposite to Kerr-effect) : $\Delta\varphi = \Delta\varphi(t, x, y)$

self-channeling of fs-pulses: $\Delta n_{Kerr} \approx \Delta n_{pl}$

Optical damage : $N_e \approx N_{crit} = 1.6 \cdot 10^{21} \text{ cm}^{-3}$

→ damage fluence

